Supply Chain Management in Disaster Response

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ABSTRACT

In today’s society that disasters seem to be striking all corners of the United States and the globe, the importance of emergency management is undeniable. Much human loss and unnecessary destruction of infrastructure can be avoided with more foresight and specific planning. During emergencies various aid organizations often face significant problems of transporting large amounts of many different commodities including food, clothing, medicine, medical supplies, machinery, and personnel from different points of origin to different destinations in the disaster areas. The transportation of supplies and relief personnel must be done quickly and efficiently to maximize the survival rate of the affected population and minimize the cost of such operations.

Federal Emergency Management Agency (FEMA) is the primary organization for preparedness and response to federal level disasters in the United States. FEMA has a very complex logistics structure to provide the disaster victims with critical items after a disaster strike which involves multiple organizations and spreads all across the country. Unfortunately, inadequate response to hurricanes Katrina and Rita showed the critical need for better mechanisms in emergency operations. Initial research in this area showed that this is an emerging field and there are great potentials for research in emergency logistics and disaster response.

The goal of this research is to develop a comprehensive model that describes the integrated supply chain operations in response to natural disasters. An integrated model that captures the interactions between different components of the supply chain is a very valuable tool. It is ideal to have a model that controls the flow of relief commodities from the sources through the chain and until they are delivered to the hands of recipients. This research will offer a model that not only considers details such as vehicle routing and pick up or delivery schedules; but also considers finding the optimal location for temporary facilities as well as considering the capacity constraints for each facility and the transportation system. Such a model provides the
opportunity for a centralized operation plan that can eliminate delays and assign the limited resources in a way that is optimal for the entire system.

Emergency response operation is a dynamic and very time sensitive operation. A mathematical model at the operational level is needed that can be used in the critical hours and days immediately after the disaster strikes. Such a model is a unique tool that can also be used at strategic level or planning level analysis. It is a very complicated task and to date, there is no study in the literature that has addressed this problem sufficiently.

This research also aims at developing optimization algorithms and heuristics to solve the proposed model and find applicable solutions to decrease human sufferings in the most economically sensible way. The algorithms need to be fast so that the results can be used in the initial response phase and also as the situation changes in the highly dynamic environment after the disaster.

Finally, a comprehensive series of numerical analysis is performed to evaluate the proposed model and solution algorithms. The numerical analysis shows the required details for model implementation. A range of analysis is conducted to investigate the effect of different parameters on the mathematical model. Overall, the numerical analysis confirms the applicability of the proposed model in real-world like scenarios. Also, it is shown that the model size and complexity grows rapidly in case of large-scale disasters which emphasize the need and importance of fast and efficient solution algorithms. At the end, conclusions and directions for future research are discussed.
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Glossary of Terms

**CRED**  Center for Research on the Epidemiology of Disasters
**CSS**  Commercial Storage Site
**DHS**  Department of Homeland Security
**DLA**  Defense Logistics Agency
**ESF**  Emergency Support Function
**FEMA**  Federal Emergency Management Agency
**FOSA**  Federal Operational Staging Area
**GSA**  General Services Administration
**LC**  Logistics Center
**MOB**  Mobilization centers
**NRF**  National Response Framework
**PAHO**  Pan American Health Organization
**POD**  Point of Distribution
**SSA**  State Staging Area
**WHO**  World Health Organization
Chapter 1: Introduction

1.1. Disasters

1.1.1 Definitions
The term “disaster” is usually applied to a breakdown in the normal functioning of a community that has a significant adverse impact on people, their works, and their environment, overwhelming local response capacity. This situation may be the result of a natural event such as a hurricane or earthquake; or it may be the result of human activities (PAHO 2001). Some organizations make a distinction between “disasters”—the result of natural phenomena—and “complex emergencies” that are the product of armed conflicts or large-scale violence and often lead to massive displacements of people, famine, and outflows of refugees.

A disaster, as defined by the World Health Organization (WHO), is any occurrence that causes damage, destruction, ecological disruption, loss of human life, human suffering, deterioration of health and health services on a scale sufficient to warrant an extraordinary response from outside the affected community or area. The American Red Cross defines a disaster as an occurrence or situation that causes human suffering or creates human needs that the victims cannot alleviate without assistance. Earthquakes, hurricanes, tornadoes, volcanic eruptions, wild fires, floods, blizzard, drought, terrorism, chemical spills and nuclear accidents are included among the causes of disasters, and all have significant devastating effects in terms of human injuries and property damage.

Alexander (1999) defines natural disaster as some rapid, instantaneous or profound impact of the natural environment upon the socio-economic system. He also recommends Turner’s (1976) definition of natural disaster as “an event, concentrated in time and space, which threatens a society or subdivision of a society with major unwanted consequences as a result of the collapse of precautions which had previously been culturally accepted as adequate”.

Center for Research on the Epidemiology of Disasters (CRED), collaborating center with WHO and United Nations, defines disaster as “A situation or event, which overwhelms local capacity, necessitating a request to national or international level for external assistance; an unforeseen and often sudden event that causes great damage, destruction and human suffering”. (CRED 2007)

The official definition of disasters in the United States is presented in the Stafford Act. The Robert T. Stafford Disaster Relief and Emergency Assistance Act is the primary legislation in the United States authorizing the federal government to provide disaster assistance to states, local governments, families, and individuals. The Stafford Act defines a disaster as

“Any natural catastrophe (including hurricane, tornado, storm, high water, wind driven water, tidal wave, tsunami, earthquake, volcanic eruption, landslide, mudslide, snowstorm or drought), or, regardless of cause, any fire, flood or explosion, in any part of the United States, which in the determination of the President causes damage of sufficient severity and magnitude to warrant major disaster assistance under this Act to supplement the efforts and available resources of States, local governments, and disaster relief organizations, in alleviating the damage, loss, hardship, or suffering caused thereby.”

As these definitions indicate, a disaster is a “catastrophe” of such magnitude and severity that the capacities of states and local governments are overwhelmed. So the threshold for determining what constitutes a disaster depends upon the availability of resources and capabilities of responding communities. Consequently, a disaster can be prevented by increasing the capacity of responding organizations.
1.1.2 Numbers and Trends

From a global perspective, the number of natural disasters is increasing every year. For example in 2005, there have been 489 country-level disasters affecting 127 countries around the globe resulting in 104,698 people killed and 160 million affected. For the same year of 2005, the economic damage estimate varies from 159 billion to 210 billion in US dollars. Because of the population growth and new developments in risk prone regions, the exposure of the human kind to the natural disasters is increasing even more.

Figure 1.1 shows the number of reported natural disasters around the globe from 1980 to 2007. A least-square linear regression trend-line is drawn to better illustrate the overall pattern. Trend-line in Figure 1.1 shows that in spite of fluctuations due to cyclic or seasonal patterns, the average number of disasters is growing in long-term. During 1980s number of disasters is around 180 per year on average. In 1990s, the average number of disasters increases to around 300 per year. And in the 2000-2007 period, these numbers are around 460 disasters per year which indicates a dramatic increase. An increase of this magnitude can be explained partially by the global warming theory, and partially by the attention of the media which has increased the numbers of reported disasters all over the world.

As the number of disasters increases every year, more people are affected by these disasters. Figure 1.2 illustrates the number of victims of natural or man-made disasters in the last twenty years. The number of victims includes the people killed, injured, lost their homes or evacuated as a direct result of the disaster. As can bee seen in figure 1.2, the number of victims has higher fluctuations over the years. However, the trend-line shows a slow increase in the average number of peoples affected each year over time. The number of victims is generally between 100 million and 400 million per year. An exceptionally high number in 2002 is due to a drought solely affecting 360 million in India and China and a major wind storm and flood affecting 160 million people in China.
Another important factor is the monetary cost of natural disasters. Figure 1.3 shows the amounts of global economical damage caused by natural disaster from 1980 to 2007. The
average cost per year is $45 billion from 1980 to 1999. However, for 2000 to 2007 period, the average cost is more than $80 billion per year. The linear trend-line shows this increase in the economical damage of the natural disasters over time. Two major disasters affecting the trend are the Kobe earthquake in 1995 and hurricane Katrina in 2005.

![Estimated Damage Cost of Natural Disasters](image)

Figure 1.3- Economic damage of the natural disasters over time (CRED)

1.2. Emergency Management

Emergency management (or disaster management) is the discipline of avoiding risks and dealing with risks (Haddow et al. 2007). No country and no community are immune from the risk of disasters. However, it is possible to prepare for, respond to and recover from disasters and limit the destructions to a certain degree. Emergency management is a discipline that involves preparing for disaster before it happens, responding to disasters immediately, as well as supporting, and rebuilding societies after the natural or human-made disasters have occurred.

Emergency management is a continuous process. It is essential to have comprehensive emergency plans and evaluate and improve the plans continuously. The related activities
are usually classified as four phases of Preparedness, Response, Recovery, and Mitigation. Figure 1.4 illustrates the order of these phases according to the onset of the disaster. Appropriate actions at all points in the cycle lead to greater preparedness, better warnings, reduced vulnerability or the prevention of disasters during the next iteration of the cycle.

**Figure 1.4- Four Phases of Emergency Management Cycle**

Some of the main activities during four phases of emergency management cycle are summarized below:

**Preparedness**
- Activities to improve the ability to respond quickly in the immediate aftermath of an incident.
- Includes development of response procedures, design and installation of warning systems, evacuation planning, exercises to test emergency operations, and training of emergency personnel.

**Response**
- Activities during or immediately following a disaster to meet the urgent needs of disaster victims.
• Involves mobilizing and positioning emergency supplies, equipment and personnel; includes time-sensitive operations such as search and rescue, evacuation, emergency medical care, food and shelter programs, and bringing damaged services and systems back online.

Recovery

• Actions that begin after the disaster, when urgent needs have been met. Recovery actions are designed to put the community back together.

• Include repairs to roads, bridges, and other public facilities, restoration of power, water and other municipal services, and other activities to help restore normal operations to a community.

Mitigation

• Activities that prevent a disaster, reduce the chance of a disaster happening, or lessen the damaging effects of unavoidable disasters and emergencies.

• Includes engineering solutions such as dams and levees; land-use planning to prevent development in hazardous areas; protecting structures through sound building practices and retrofitting; acquiring and relocating damaged structures; preserving the natural environment to serve as a buffer against hazard impacts; and educating the public about hazards and ways to reduce risk.

Emergency management process needs the cooperation of all individuals, groups, and communities to be successful. When a major disaster happens, emergency management agencies from all over the world work with governments and non-governmental organizations in an effort to decrease the impact of the disaster. Humanitarian organizations such as American Red Cross, CARE USA, Catholic Relief Services, International Committee of the Red Cross, International Federation of Red Cross and Red Crescent Societies, International Rescue Committee, UNICEF, World Bank, and World Food Programme are among the organizations that work with different national organizations inside the affected countries to provide humanitarian aids.
In the United States, the Federal Emergency Management Agency (FEMA) is the main agency to deal with emergencies. They work in partnership with other organizations that are part of the national emergency management system. These partners include state and local emergency management agencies, 27 other federal agencies and the American Red Cross. More details on FEMA’s structure and operations are introduced in the following section.

1.3. Federal Emergency Management Agency

Federal Emergency Management Agency (FEMA) is the main organization responsible for dealing with federal level emergencies in the United States. It was initially created in 1979 as an independent organization but on March 1st, 2003 FEMA became part of the U.S. Department of Homeland Security (DHS) along with 22 other government agencies. FEMA is a relatively small agency with around 2,600 full time employees but it can mobilize nearly 7000 temporary disaster assistance employees to respond to disasters. Besides the headquarters in Washington D.C., FEMA has ten regional offices across the country to coordinate with its state and local government counterparts and with nonprofit and for-profit organizations.

The primary mission of FEMA is

“To reduce the loss of life and property and protect the Nation from all hazards, including natural disasters, acts of terrorism, and other man-made disasters, by leading and supporting the Nation in a risk-based, comprehensive emergency management system of preparedness, protection, response, recovery, and mitigation.” (www.fema.gov)

FEMA’s Strategic Plan for Fiscal Years 2008-2013 declares the vision of the organization as “The Nation’s Preeminent Emergency Management and Preparedness Agency”. The Plan establishes strategic goals, objectives, and strategies to fulfill FEMA’s vision. The strategic goals of the agency are to:
1. Lead an integrated approach that strengthens the Nation’s ability to address disasters, emergencies, and terrorist events
2. Deliver easily accessible and coordinated assistance for all programs
3. Provide reliable information at the right time for all users
4. FEMA invests in people and people invest in FEMA to ensure mission success
5. Build public trust and confidence through performance and stewardship

One of the important documents that define the principles, roles, and structures of FEMA is the National Response Framework (NRF). NRF replaced its older version called National Response Plan on March 22, 2008. NRF presents the guiding principles that enable all response partners to prepare for and provide a unified national response to disasters and emergencies. It describes how communities, tribes, states, the federal government, private-sectors, and nongovernmental partners work together to coordinate national response. Following the guidelines of NRF are essential to establish a comprehensive, national, all-hazards approach for disaster response in the United States.

NRF main documents are supplemented by important annexes called Emergency Support Functions (ESF). The ESFs provide the structure for coordinating Federal interagency support for a Federal response to an emergency. They are mechanisms for grouping functions most frequently used to provide Federal support to States and Federal-to-Federal support, both for declared disasters and emergencies under the Stafford Act and for non-Stafford Act incidents. Table 1.1 gives a summery of the 15 ESFs currently present in the NRF. More Information on the National Response Framework including Documents, Annexes, References and Briefings/Trainings can be accessed through the NRF Resource Center at [www.fema.gov/nrf](http://www.fema.gov/nrf).
<table>
<thead>
<tr>
<th>ESF</th>
<th>Scope</th>
</tr>
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<tbody>
<tr>
<td>ESF #1 – Transportation</td>
<td>Aviation management and control; Transportation safety; Restoration/recovery of transportation infrastructure; Movement restrictions; Damage and impact assessment</td>
</tr>
<tr>
<td>ESF #2 – Communications</td>
<td>Coordination with telecommunications and information technology industries; Restoration and repair of telecommunications infrastructure; Protection, restoration, and sustainment of national cyber and information technology resources; Oversight of communications within the Federal incident management and response structures</td>
</tr>
<tr>
<td>ESF #3 – Public Works and Engineering</td>
<td>Infrastructure protection and emergency repair; Infrastructure restoration; Engineering services and construction management; Emergency contracting support for life-saving and life-sustaining services</td>
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<tr>
<td>ESF #4 – Firefighting</td>
<td>Coordination of Federal firefighting activities; Support to wild land, rural, and urban firefighting operations</td>
</tr>
<tr>
<td>ESF #5 – Emergency Management</td>
<td>Coordination of incident management and response efforts; Issuance of mission assignments; Resource and human capital; Incident action planning; Financial management</td>
</tr>
<tr>
<td>ESF #6 – Housing, and Human Services</td>
<td>Mass care; Emergency assistance; Disaster housing; Human services</td>
</tr>
<tr>
<td>ESF #7 – Logistics Management</td>
<td>Comprehensive, national incident logistics planning, management, and sustainment capability; Resource support (facility space, office equipment and supplies, contracting services, etc.)</td>
</tr>
<tr>
<td>ESF #8 – Public Health</td>
<td>Public health; Medical and Mental health services; Mass fatality management</td>
</tr>
<tr>
<td>ESF #9 – Search and Rescue</td>
<td>Life-saving assistance; Search and rescue operations</td>
</tr>
<tr>
<td>ESF #10 – Hazardous Materials</td>
<td>Oil and hazardous materials (chemical, biological, radiological, etc.) response; Environmental short- and long-term cleanup</td>
</tr>
<tr>
<td>ESF #11 – Agriculture and Natural Resources</td>
<td>Nutrition assistance; Animal and plant disease and pest response; Food safety and security; Natural and cultural resources and historic properties protection and restoration; Safety and well-being of household pets</td>
</tr>
<tr>
<td>ESF #12 – Energy</td>
<td>Energy infrastructure assessment, repair, and restoration; Energy industry utilities coordination; Energy forecast</td>
</tr>
<tr>
<td>ESF #13 – Public Safety and Security</td>
<td>Facility and resource security; Security planning and technical resource assistance; Public safety and security support; Support to access, traffic, and crowd control</td>
</tr>
<tr>
<td>ESF #14 – Long-Term Recovery</td>
<td>Long-term community recovery assistance to States, local governments, and the private sector Analysis and review of mitigation program implementation</td>
</tr>
<tr>
<td>ESF #15 – External Affairs</td>
<td>Emergency public information and protective action guidance; Media and community relations; Congressional and international affairs; Tribal and insular affairs</td>
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Emergency Support Function #7, Logistics Management and Resource Support Annex, describes the roles and responsibilities of FEMA and General Services Administration (GSA) to jointly manage a supply chain that provides relief commodities to the victims. Based on ESF #7, FEMA is the primary agency for Logistics Management and is responsible for:

- Material management that includes determining requirements, sourcing, ordering and replenishment, storage, and issuing of supplies and equipment.
- Transportation management that includes equipment and procedures for moving material from storage facilities and vendors to incident victims, particularly with emphasis on the surge and sustainment portions of response. Transportation management also includes providing services to requests from other Federal organizations.
- Facilities management that includes the location, selection, and acquisition of storage and distribution facilities. These facilities include Logistics Centers, Mobilization Centers, and Federal Operations Staging Areas.
- Personal property management and policy and procedures guidance for maintaining accountability of material and identification and reutilization of property acquired to support a Federal response operation.
- Management of Electronic Data Interchange to provide end-to-end visibility of response resources.
- Planning and coordination with internal and external customers and other supply chain partners in the Federal and private sectors for improving the delivery of goods and services to the customer.

The next section introduces the components of FEMA’s logistics operations and describes the structure of FEMA’s supply chain.
1.4. FEMA’s Logistics Supply Chain

FEMA has a complicated and special structure for its supply chain. There are seven main components in the supply chain to provide relief commodities for disaster victims that are briefly described here:

1. **FEMA Logistics Centers (LC)** - permanent facilities that receive, store, ship, and recover disaster commodities and equipment. FEMA has a total of 9 logistics centers:
   - 4 Continental United States centers containing general commodities located at Atlanta, Georgia; Ft. Worth, Texas; Frederick, Maryland; and Moffett Field, California.
   - 3 Off-shore centers containing general commodities located in Hawaii, Guam, and Puerto Rico.
   - 2 Continental United States centers containing special products such as computers, office electronic equipment, medical and pharmaceutical caches located in Cumberland, Maryland and Berryville, Virginia.

Examples of disaster relief commodities include ice, water, meals ready to eat (MREs), blankets, cots, flashlights, tarps, sleeping bags and tents. Disaster relief equipments include emergency generators, personal toilet kits, and refrigerated vans.

2. **Commercial Storage Sites (CSS)** - permanent facilities that are owned and operated by private industry and store commodities for FEMA. Freezer storage space for ice is an example.

3. **Other Federal Agencies Sites (VEN)** - representing vendors from whom commodities are purchased and managed. Examples are Defense Logistics Agency (DLA) and General Services Administration (GSA).

4. **Mobilization (MOB) Centers** - temporary federal facilities in theater at which commodities, equipment and personnel can be received and pre-positioned for
deployment as required. In MOBs commodities remain under the control of FEMA logistics headquarter and can be deployed to multiple states. MOBs are generally projected to have the capacity to hold 3 days of supply commodities.

5. **Federal Operational Staging Areas (FOSAs)** - temporary facilities at which commodities, equipment and personnel are received and pre-positioned for deployment within one designated state as required. Commodities are under the control of the Operations Section of the Joint Field Office (JFO) or Regional Response Coordination Center (RRCC). Commodities are usually being supplied from MOB Centers, Logistics Centers or direct shipments from vendors. FOSAs are generally projected to hold 1 to 2 days of commodities.

6. **State Staging Areas (SSAs)** - temporary facilities in the affected state at which commodities, equipment and personnel are received and pre-positioned for deployment within that state. Title transfers for delivered federal commodities and cost sharing are initiated in SSAs.

7. **Points of Distribution (PODs) Sites** - temporary local facilities in the disaster area at which commodities are distributed directly to disaster victims. PODs are operated by the affected state.

Figure 1.5 better illustrates this structure. At the top of the pyramid there are 3 types of facilities namely FEMA Logistics Centers, Commercial Storage Sites, and Other Federal Agencies or Vendors. These permanent facilities store and ship commodities and equipment and are considered as “sources” in the chain. Mobilization Centers, Federal Operational Staging Areas, and State Staging Areas are 3 types of facilities that mainly play the role of transshipment points. These are temporary facilities at which commodities, equipment and personnel are received and pre-positioned for deployment to the lower levels. At the end, Points of Distribution Sites are temporary local facilities at which commodities are received and distributed directly to disaster victims. PODs can be local schools, churches, or big parking lots in the affected area.
Figure 1.5 FEMA’s Supply Chain Structure

Even this simplified presentation of the FEMA’s logistics supply chain indicates the complex structure of the system. Finding the optimal sites for 4 levels of temporary facilities is a complicated location finding problem. Delivering several types of commodities to disaster victims is a multicommodity capacitated network flows problem. Optimizing the movement of vehicles in the network is a dynamic vehicle routing problem with mixed pick up and delivery operations. Usually more than one transportation mode is used in disaster response operations which makes the problem a multimodal transportation problem. Other characteristics that make the problem unique include, but are not limited to, importance of quick response and fast delivery, shortage of supply versus overwhelming demands, insufficient capacity of facilities and transportation system, and dynamic environment of the emergency situations.
1.5. Motivation and Objective of the Research

In today’s society that disasters seem to be striking all corners of the United States and the globe, the importance of emergency management is undeniable. Much human loss and unnecessary destruction of infrastructure can be avoided with more foresight and specific planning as well as a precise execution. In a world where resources are stretched to the limit and the question of humanitarian relief seems too often to be tied with economical considerations, better designs and operations are urgently needed to help save thousands of lives and millions of dollars.

The question is how to respond to natural disasters in the most efficient manner to minimize the loss of life and maximize the efficiency of the rescue operations. In case of these emergencies various organizations often face significant problems of transporting large amounts of many different commodities including food, clothing, medicine, medical supplies, machinery, and personnel from different points of origin to different destinations in the disaster areas. The transportation of supplies and relief personnel must be done quickly and efficiently to maximize the survival rate of the affected population and minimize the cost of such operations.

Federal Emergency Management Agency (FEMA) is the primary organization for preparedness and response to federal level disasters in the United States. Unfortunately, inadequate response to hurricanes Katrina and Rita showed the critical need for better mechanisms in emergency operations. Initial research in this area shows that this is an emerging field and there are great potentials for research in emergency logistics and disaster response.

FEMA has a very complex logistics structure to provide the disaster victims with critical items after a disaster strike which involves multiple organizations and spreads across the country. The goal of this research is to develop a comprehensive model that describes the integrated supply chain operations in response to natural disasters. An integrated model that captures the interactions between different components of the supply chain is a very
valuable tool. It is ideal to have a model that controls the flow of relief commodities from the sources through the chain and until they are delivered to the hands of recipients. Such a model provides the opportunity for a centralized operation plan that can eliminate delays and assign the limited resources in a way that is optimal for the entire system.

1.6. Contributions of the research

Emergency response operation is a dynamic and very time sensitive operation. This research will offer a model that not only considers details such as vehicle routing and pick up or delivery schedules; but also considers finding the optimal location for temporary facilities as well as considering the capacity constraint for each facility and the transportation system. A mathematical model at the operational level is needed that can be used in the critical hours and days immediately after the disaster strikes. Such a model is a unique tool that can also be used at strategic level or planning level analysis. It is a very complicated task and up to date, there is no study in the literature that has addressed this problem sufficiently.

This research also aims at developing optimization algorithms and heuristics to solve the proposed model and find applicable solutions to decrease human sufferings in the most economically sensible way. The algorithms need to be fast so that the results can be used in the initial response phase and also as the situation changes in the highly dynamic environment after the disaster.

This research extends the state-of-the-art by presenting a model at the operational level which describes the details of supply chain operations in major emergency management agencies such as FEMA, in response to immediate aftermath of a large scale disaster. Development of fast and efficient solution algorithms and heuristics for the proposed model will be the other major contribution of this research.
1.7. *Organization of the report*

After introduction, previous works on the logistics of disaster relief operations are reviewed in chapter 2. The specific problem to be dealt with in this research is introduced in chapter 3 and then the mathematical formulation of the model is presented. Chapter 4 offers a set of numerical problems to help better understand the mechanics of the model. Finally in the 5th chapter, the conclusions and directions for future research are discussed.
Chapter 2: Literature review

In this section, first some definitions of supply chain and supply chain management (SCM) in commercial sector are introduced then some of the researches that reviewed the supply chain studies are summarized. Then, as two main elements of supply chain and logistics planning, a brief introduction to facility location problem and vehicle routing problem are presented. Next, the similarities and differences between commercial supply chain and logistics of disaster response are reviews. Finally, some of the studies specific to modeling and optimization of logistics in disaster response are provided. This section concludes with a summary of previews works in this area and the gaps in the literature that needs to be filled.

2.1. Supply Chain Management

Definition of SCM differs across authors from different fields and there is no explicit and universal description of supply chain management or its activities in the literature (Tan 2001). The literature is full of buzzwords such as: integrated purchasing strategy, integrated logistics, supplier integration, buyer-supplier partnerships, supply base management, strategic supplier alliances, supply chain synchronization and supply chain management, to address elements or stages of this phenomenon (New, 1997; La Londe and Masters, 1994).

For example Harland (1996) described supply chain management as managing business activities and relationships (1) internally within an organization, (2) with immediate suppliers, (3) with first and second-tier suppliers and customers along the supply chain, and (4) with the entire supply chain. Scott and Westbrook (1991) and New and Payne (1995) describe supply chain management as the chain linking each element of the manufacturing and supply process from raw materials through to the end user, including several organizational boundaries. SCM begins with the extraction of raw materials or minerals from the earth, through the manufacturers, wholesalers, retailers, and the final
users. Where appropriate, supply chain management also includes recycling or re-use of the products or materials.

Another definition of supply chain management emerges from the transportation and logistics literature of the wholesaling and retailing industry, emphasizing the importance of physical distribution and integrated logistics. There is no doubt that logistics is an important function of business and is evolving into strategic supply chain management (New and Payne, 1995). In this definition, the physical transformation of the products is not a critical component of supply chain management. Its primary focus is the efficient physical distribution of final products from the manufacturers to the end users in an attempt to replace inventories with information and reduce transportation costs.

The definition of supply chain (SC) seems to be more common across authors than the definition of supply chain management (Mentzer et al. 2001). La Londe and Masters (1994) proposed that a supply chain is a set of firms that pass materials forward. Eksioglu (2002) defined a supply chain as an integrated process where different business entities such as suppliers, manufacturers, distributors, and retailers work together to plan, coordinate, and control the flow of materials, parts, and finished goods from suppliers to customers. Several independent firms can be involved in manufacturing a product and placing it in the hands of the end user in a supply chain. For example raw material and component producers, product assemblers, wholesalers, retailer merchants and transportation companies are all members of the supply chain.

Beamon (1998) defined supply chain as an integrated manufacturing process where raw materials are converted into final products, then delivered to customers. At its highest level, a supply chain is comprised of two basic integrated processes: (1) the Production Planning and Inventory Control Process, and (2) the Distribution and Logistics Process. These Processes define the basic framework for the conversion and movement of raw materials into final products. Figure 2.1 illustrates a simplified picture of supply chain process.
The Production Planning and Inventory Control Process includes the manufacturing and storage sub-processes and their interfaces. More specifically, production planning describes the design and management of the entire manufacturing process including raw material scheduling and acquisition, manufacturing process design and scheduling, and material handling design and control). Inventory control describes the design and management of the storage policies and procedures for raw materials, work-in-process inventories, and usually, final products.

The Distribution and Logistics Process determines how products are retrieved and transported from the storage warehouse to retailers. These products may be transported to retailers directly, or may be shipped to distribution facilities first and then being delivered to the retailers. This process includes the management of inventory retrieval, transportation, and final product delivery.

These processes interact with one another to produce an integrated supply chain. The design and management of these processes determine the extent to which the supply chain works as a unit to meet required performance objectives. Usually in commercial supply chain, the objective is to minimize cost. However, some have considered a combination of cost and customer service as the objective.
For many years, researchers and practitioners have concentrated on the individual processes and entities within the SC. However, the recent trend is to model and optimize the SC as a single unified entity. In this approach, operations research (OR) techniques have shown to be a very useful tool among researchers and practitioners. Typically, a SC model tries to determine

- the transportation modes to be used,
- the suppliers to be selected,
- the amount of inventory to be held at various locations in the chain,
- the number of warehouses to be used, and
- the location and capacities of these warehouses.

A more comprehensive review of model and methods in supply chain design and analysis readers are referred to Beamon (1998) and Tan (2001). In the following subsection of this chapter, some of the elements of SCM that can be applied in disaster response logistics are introduced in more details.

2.1.1. Facility Location Problem

One of the most important problems in supply chain management is deciding where to locate new facilities such as factories, warehouses, distribution centers or retailers to support the material flow through an efficient distribution system. The general facility location problem can be stated as: for a given set of facility locations and a set of customers who are served from the facilities, find:

- Which facilities should be used
- Which customers should be served from which facilities so as to minimize the total cost of serving all the customers

The development and acquisition of a new facility is typically a costly, time-sensitive project. Before a facility can be purchased or constructed, good locations must be identified, appropriate facility capacity specifications must be determined, and large amounts of capital must be allocated. While the objectives driving a facility location
decision depend on the firm or government agency, the high costs associated with this process make almost any location project a long-term investment.

A vast literature has developed out of the broadly based interest in facility location problem over the last four decades (Daskin 1995, Drezner and Hamacher 2002). Operations research practitioners have developed a number of mathematical programming models to represent a wide range of location problems. Several different objective functions have been formulated to consider numerous applications. Unfortunately, the resulting models can be extremely difficult to solve to optimality (most problems are classified as NP-hard); many of the problems require integer programming formulations.

The p-median problem, covering problem, and p-center problem are three classic forms of facility location problem that are introduced in the following subsections. For a comprehensive bibliography of more recent studies in discrete location finding problem refer to ReVelle et al (2008).

**P-Median Problem**

One important way to measure the effectiveness of a facility location is by determining the average distance traveled by those who visit it. As average travel distance increases, facility accessibility decreases, and thus the location's effectiveness decreases. An equivalent way to measure location effectiveness when demands are not sensitive to the level of service is to weight the distance between demand nodes and facilities by the associated demand quantity and calculate the total weighted travel distance between demands and facilities. Then, the problem is to selects the best \( p \) sites among a range of possible locations with the objective of minimizing total demand-weighted travel distance between demand nodes and selected facilities. The key decisions are where to locate the \( p \) facilities and which facility should serve each demand node.

The input are the demands (or weights) \( w_i \) at each node \( i \in I \), the distances \( d_{ij} \) between each demand node \( i \in I \) and each candidate facility site \( j \in J \) and \( p \), the maximum
number of facilities to be located. The mathematical formulation of p-median problem is as follow:

\[ x_j = 1 \text{ if a facility is located at candidate node } j \in J \text{ and } 0 \text{ otherwise} \]

\[ y_{ij} = 1 \text{ if demand node } i \in I \text{ is assigned to facility at candidate node } j \in J \]

\[ 0 \text{ otherwise.} \]

Minimize

\[ \sum_{j \in J} \sum_{i \in I} w_i d_{ij} y_{ij} \] (2.1)

Subject to

\[ \sum_{j \in J} y_{ij} = 1 \quad \forall i \in I \] (2.2)

\[ y_{ij} - x_j \leq 0 \quad \forall i \in I, \forall j \in J \] (2.3)

\[ \sum_{j \in J} x_{ij} \leq p \quad \forall j \in J \] (2.4)

\[ x_j, y_{ij} \in \{0,1\} \quad \forall i \in I, \forall j \in J \] (2.5)

The objective function (2.1) minimizes the demand-weighted total distance. Since the demands are known and the total demand is fixed, this is equivalent to minimizing the demand-weighted average distance. Constraints (2.2) ensure that each demand node is assigned, while constraints (2.3) stipulate that the assignments can only be made to open facilities. Constraint (2.4) states that a maximum of p facilities are to be opened. Constraints (2.5) are standard integrality constraints.

**Covering Problem**

The P-median problem described above can be used to locate a wide range of public and private facilities. For some facilities, however, selecting locations which minimize the average distance traveled may not be appropriate. Suppose, for example, that a city is locating emergency service facilities such as fire stations or ambulances. The critical nature of demands for service will dictate a maximum “acceptable” travel distance or time. Such facilities will thus require a different measure of location efficiency. To locate
such facilities, the key issue is “coverage”. A demand is said to be covered if it can be served within a specified time.

The literature on covering problems is divided into two major segments, that in which coverage is required and that in which it is optimized. Two covering problems which illustrate the distinction are the location set covering problem and the maximal covering problem. We will introduce both problem classes. For a more complete review of covering problems refer to Schilling et al (1993).

In the set covering problem, the objective is to minimize the cost of facility location such that a specified level of coverage is obtained. The mathematical formulation of set covering problem is as follow:

\[ c_j = \text{fixed cost of locating a facility at node } j \]
\[ S = \text{maximum acceptable distance or travel time} \]
\[ N_i = \text{set of facility sites } j \text{ within acceptable distance of node } i \left( N_i = \{ j | d_{ij} \leq S \} \right) \]
\[ X_j = 1 \text{ if a facility is located at candidate node } j \in J \text{ and } 0 \text{ otherwise} \]

Minimize \[ \sum_{j \in J} c_j X_j \] (2.6) 
Subject to
\[ \sum_{j \in N_i} X_j \geq 1 \quad \forall i \] (2.7)
\[ X_j \in \{0,1\} \quad \forall j \] (2.8)

The objective function (2.6) minimizes the cost of facility location. In many cases, the costs \( c_j \) are assumed to be equal for all potential facility sites \( j \), implying an objective equivalent to minimizing the number of facilities located. Constraint (2.7) requires that all demands \( i \) have at least one facility located within the acceptable service distance. Note that this formulation makes no distinction between nodes based on demand size. Each node, whether it contains a single customer or a large portion of the total demand, must be covered regardless of cost. If the coverage distance \( S \) is small, relative to the
spacing of demand nodes, the coverage restriction can lead to a large number of facilities being located. Additionally, if an outlying node has a small demand, the cost/benefit ratio of covering that demand can be extremely high.

In many practical applications, decision makers find that their allocated resources are not sufficient to build the facilities dictated by the desired level of coverage. (The goal of coverage within distance \( S \) may be infeasible with respect to construction resources.) In such cases, location goals must be shifted so that the available resources are used to give as many customers as possible the desired level of coverage. This new objective is that of the maximal covering problem.

Specifically, the maximal covering problem seeks to maximize the amount of demand covered within the acceptable service distance \( S \) by locating a fixed number of facilities:

\[ X_j = 1 \text{ if a facility is located at candidate node } j \in J \text{ and } 0 \text{ otherwise} \]

\[ Z_i = 1 \text{ if a demand at node } i \in I \text{ is covered and } 0 \text{ otherwise} \]

Minimize \[ \sum_i h_i Z_i \quad (2.9) \]

Subject to

\[ Z_i \leq \sum_{j \in N_i} X_j \quad \forall i \quad (2.10) \]

\[ \sum_j X_j \leq p \quad \forall i \quad (2.11) \]

\[ X_j, Z_i \in \{0,1\} \quad \forall i, j \quad (2.12) \]

The objective (2.9) is to maximize the amount of demand covered. Constraint (2.10) determines which demand nodes are covered within the acceptable service distance. Each node \( i \) can only be considered covered (with \( Z_i = 1 \)) if there is a facility located at some site \( j \) which is within \( S \) of node \( i \) (i.e., if \( X_j = 1 \) for some \( j \in N_i \)). If no such facility is located, the right hand side of constraint (2.10) will be zero, thus forcing \( Z_i \) to zero. Constraint (2.11) limits the number of facilities to be located, to be limited to a fixed number \( p \).
Center Problem

Another problem class which avoids the set covering problem's potential infeasibility is the class of P-center problems. In such problems, we require coverage of all demands, but we seek to locate a given number of facilities in such a way that minimizes coverage distance. Rather than taking an input coverage distance $S$, this model determines endogenously the minimal coverage distance associated with locating $P$ facilities.

The P-center problem is also known as the minimax problem, as we seek to minimize the maximum distance between any demand and its nearest facility. If facility locations are restricted to the nodes of the network, the problem is a vertex center problem. Center problems which allow facilities to be located anywhere on the network are absolute center problems.

The following additional decision variable is needed in order to formulate the P-center problem:

$D = \text{maximum distance between a demand node and the nearest facility.}$

The resulting integer programming formulation of the P-center problem follows.

Minimize $D$ \hspace{1cm} (2.13)

Subject to

$\sum_{j} X_j \leq p \hspace{0.5cm} \forall i$ \hspace{1cm} (2.14)

$\sum_{j} Y_{ij} = 1 \hspace{0.5cm} \forall i$ \hspace{1cm} (2.15)

$Y_{ij} - X_j \leq 0 \hspace{0.5cm} \forall i, j$ \hspace{1cm} (2.16)

$\sum_{j} d_{ij} Y_{ij} \leq D \hspace{0.5cm} \forall i$ \hspace{1cm} (2.17)

$X_j, Y_{ij} \in \{0,1\} \hspace{0.5cm} \forall i, j$ \hspace{1cm} (2.18)
The objective function (2.13) is simply to minimize the maximum distance between any demand node and its nearest facility. Constraints (2.14) limits the maximum number of open facilities to p. constraints (2.15) enforces each demand point to be assigned to a facility and constraints (2.16) make sure that demands are assigned only to selected facilities. Constraint (2.17) defines the maximum distance between any demand node i and the nearest facility j. Finally, constraints (2.18) are integrality constraints for the decision variables.

In addition to three classes introduced here, several alternate formulations of the facility location problem are proposed by researchers over the years. For a bibliography of recent studies refer to ReVelle et al. (2008).

**2.1.2. Vehicle Routing Problem**

The vehicle routing problem (VRP) is a generic name given to a whole class of problems in which a set of routes for a fleet of vehicles based at one or several depots must be determined for a number of geographically dispersed cities or customers. The VRP arises naturally as a central problem in the fields of transportation, distribution and logistics. Usually, the objective of the VRP is to deliver a set of customers with known demands on minimum-cost vehicle routes originating and terminating at a depot. In some market sectors, transportation means a high percentage of the value added to goods. Therefore, the utilization of modeling and optimization methods for transportation often results in significant savings ranging from 5% to 20% in the total costs, as reported in Toth and Vigo (2002).

The VRP is a well known integer programming problem which falls into the category of NP-Hard problems, meaning that the computational effort required for solving this problem increases exponentially with the problem size. This difficult combinatorial problem conceptually lies at the intersection of these two well-studied NP-Hard problems:
• *The Traveling Salesman Problem (TSP):* If the capacity of the vehicles is infinite, we can get an instance of the Multiple Traveling Salesman Problem (MTSP). An MTSP instance can be transformed into an equivalent TSP instance by adding to the graph k-1 (k being the number of routes) additional copies of node 0 and its incident edges.

• *The Bin Packing Problem (BPP):* The question of whether there exists a feasible solution for a given instance of the VRP is an instance of the BPP. The decision version of this problem is conceptually equivalent to a VRP model in which all edge costs are taken to be zero (so that all feasible solutions have the same cost).

Three basic approaches have been proposed for modeling VRP in the literature (Toth and Vigo 2002). The models of the first type, known as *Vehicle Flow formulation*, use binary integer variables associated with each arc of the network, which shows if an specific arc is traverse by a vehicle or not. These models are often used for basic versions of VRP. They are particularly useful for cases in which the cost of the solution can be expressed as the sum of the costs associated with the arcs. On the other hand, vehicle flow models cannot be used to deal with many practical issues; for instance, when the cost of a solution depends on the sequence of traversed arcs or when the cost depends on the type of vehicle that is assigned to a route.

The second approach to VRP modeling is called *Commodity Flow formulation*. In this type of model, additional integer variables are associated with arcs that represent the flow of the commodities along the paths traveled by the vehicles. In some recent studies, these models have been used as a basis to solve for the exact solutions of capacitated VRP.

In the third approach to VRP modeling, the decision variables are the feasible routes for the vehicles. These models produce an exponential number of binary variables each associated with a feasible route. Then the VRP is formulated as a *Set Partitioning* problem that tries to select a set of routes with minimum cost which serves each costumer once and also satisfies the additional constraints. Main advantage of this type of model is
that it allows for extremely general route costs. For example, route costs can be nonlinear or can depend on the vehicle type or sequence of nodes visited. Also, the linear relaxation of these models usually provides a tighter bound than the previous models. However, these models usually require enumerating the feasible routes which needs extensive preprocessing and results in a very large number of variables.

**Mathematical Formulation**

As mentioned above, *vehicle flow based formulation* is one of the approaches to model the VRP. Following formulation is an example for the base case of uncapacitated multi-vehicle single depot vehicle routing problem. The decision variables \(x_{ij}^v\) which are binary indicate whether vehicle \(v\) travels from point \(i\) to point \(j\), \(x_{ij}^v = 1\), or not \(x_{ij}^v = 0\)

Minimize \[
\sum \sum \sum c_{ij} x_{ij}^v
\] (2.19)

Subject to

\[
\sum \sum \sum x_{ij}^v = 1 \quad \forall j
\] (2.20)

\[
\sum \sum \sum x_{ij}^v = 1 \quad \forall i
\] (2.21)

\[
\sum x_{ip}^v - \sum x_{pj}^v = 0 \quad \forall p \in N, \forall v
\] (2.22)

\[
\sum x_{pj}^v \leq 1 \quad \forall v
\] (2.23)

\[
x_{ij}^v \in (0,1) \quad \forall i, j, v
\] (2.24)

\[
X \in S
\] (2.25)

The objective is to minimize the total travel cost (or distance) by all vehicles. Constraints (2.20) through (2.22) require that only one vehicle enters each node and that the same vehicle exits that node. Constraints (2.23) insure that each vehicle leaves the depot only once. The last condition which is imposed on the matrix \(X\) prohibits subtours that do not contain the depot. There are several possible ways to fulfill this condition, for example S.
might be composed of subtour breaking constraints for each vehicle. $S$ can be defined as the union of sets $S_v$ defined by:

$$S_v = \left\{ x^v_{ij} : \sum_{i \in Q} \sum_{j \in Q} x^v_{ij} \leq |Q| - 1 \text{ for all nonempty subset } Q \right\} \quad (2.26)$$

If each customer has a demand of $d_i$ units and each vehicle has a capacity of $K_v$, then the capacitated VRP can be formulated by adding the following capacity constraints to the base formulation:

$$\sum_i d_i \left( \sum_j x^v_{ij} \right) \leq K_v \quad \forall v \quad (2.27)$$

**VRP Variants**

Usually, real world vehicle routing problems are much more sophisticated than the base case VRP introduced above. Over the years, researchers have proposed variants of VRP by adding some constraints to the base case VRP formulation. Here, a list of well-known VRP variants is summarized:

- *Capacitated VRP (CVRP)*: Every vehicle has a limited capacity
- *Distance-Constrained VRP (DCVRP)*: The maximum tour length is limited
- *Multiple Depot VRP (MDVRP)*: The vendor uses many depots to supply the customers
- *VRP with Pick-Up and Delivering (VRPPD)*: Customers may return some goods to the depot
- *Split Delivery VRP (SDVRP)*: The customers may be served by different vehicles
- *VRP with Time Windows (VRPTW)*: Every customer has to be supplied within a certain time window
- *Periodic VRP (PVRP)*: The deliveries may be done in some consecutive days
- *Stochastic VRP (SVRP)*: Some values, such as number of customers, theirs demands, service time or travel time, are random

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There are several survey papers on the VRP, VRP variants, and their solution algorithms and techniques. A classification of the problem was given in Desrochers et al. (1990). Laporte and Nobert (1987) presented a survey of exact methods to solve VRP. Other surveys that provided exact and heuristic methods were presented by Christofides, Mingozzi, and Toth (1981), Magnanti (1981), Bodin et al. (1983), Fisher (1994), Laporte (1992), Toth and Vigo (2002). An annotated bibliography was proposed by Laporte (1997). A book on the subject was edited by Golden and Assad (1988).

2.2. Commercial Supply Chain versus Emergency Response Logistics

Immediately after the disaster, humanitarian organizations often face significant problems of transporting large amounts of many different commodities including food, clothing, medicine, medical supplies, machinery, and personnel from several origins to several destinations inside the disaster area. The transportation of supplies and relief personnel must be done quickly and efficiently to maximize the survival rate of the affected population and minimize the cost of such operations.

When it comes to efficiency of supply deliveries, the modeling and optimization techniques established in commercial supply chain management seem to be the most relevant approach. For instance, some of the quickest emergency assistance to the victims of hurricane Katrina did not come from the American Red Cross or FEMA, it came from Wal-Mart. Millions of affected or displaced people waited for days as agencies struggled to provide assistance. Wal-Mart moved faster than traditional emergency aid groups mainly because the retail giant had mastered the fundamentals of logistics and supply chain management (Dimitruk 2005).

More recently, some studies such as (Beamon 2004; Thomas and Kopczak, 2005; Van Wassenhove, 2006; Oloruntoba and Gray, 2006; Thomas, 2007), emphasized that some
supply chain concepts share similarities to emergency logistics and therefore some tools and methods developed for commercial supply chains can be successfully adapted in emergency response logistics.

Using commercial supply chain techniques in disaster management is still in its infancy. Beamon (2004) and Thomas (2005) have compared the current state of supply chain management capabilities within humanitarian organizations with that of the commercial sector in the 1970s and 1980s. At that time, the commercial sector just began to realize the strategic advantages and significant improvements supply chain management could offer in effectiveness and efficiency. This led to extensive research in the area of supply chain and logistical analysis but those quantitative methods and principles are rarely applied to humanitarian operations on the verge of disasters.

The partial reason is the difference in the strategic goals of commercial supply chain with goals of disaster response logistics. The main goal in commercial supply chain is to minimize the cost or maximize the profit of operations. Actions are justified if they increase the profit but are not perused if their cost is more than their profit. However, humanitarian organizations are mostly non-profit organizations with the idea of providing critical services to the public in order to minimize the pain and sufferings, for example after a natural disaster.

One major difference between the two types of chains is the demand pattern. For many commercial supply chains, the external demand for products is comparatively stable and predictable. Often, for the commercial chain, the demands seen from warehouses occur from established locations in relatively regular intervals. However, the demands in the relief chain are emergency items, equipment, and personnel. More importantly, those demands occur in irregular amounts and at irregular intervals and occur suddenly, such that the locations are often completely unknown until the demand occurs.

Beamon (2004) suggests other specific characteristics of disaster response logistics that differentiate them from traditional commercial supply chains. These include
- Zero lead time that dramatically affects inventory availability, procurement, and distribution.
- High stakes (often life-and-death) that requires speed and efficiency
- Unreliable, incomplete, or non-existent supply and transportation infrastructure.
- Many relief operations are naturally ad hoc, without effective monitoring and control.
- Variable levels of technology is available depending on the disaster area

Table 2.1 compares some of the differences between commercial and humanitarian supply chains.

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Commercial Chain</th>
<th>Humanitarian Relief Chain</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Strategic Goals</strong></td>
<td>Typically to produce high quality products at low cost to maximize profitability</td>
<td>Minimize loss of life and alleviate suffering.</td>
</tr>
<tr>
<td><strong>Distribution Network</strong></td>
<td>Well-defined methods for determining the number and locations of distribution centers.</td>
<td>Challenging due to the nature of the unknowns (locations, type and size of events, politics, and culture)</td>
</tr>
<tr>
<td><strong>What is “Demand”?</strong></td>
<td>Products.</td>
<td>Emergency Supplies, equipment and Personnel.</td>
</tr>
<tr>
<td><strong>Lead Time</strong></td>
<td>Lead time determined by the supplier-manufacturer-DC-retailer</td>
<td>Zero time between the occurrence of the demand and the need for the demand</td>
</tr>
<tr>
<td><strong>Inventory Control</strong></td>
<td>Utilizes well-defined methods for determining inventory levels based on lead time, demand and target customer service levels.</td>
<td>Inventory control is challenging due to the high variations in lead times, demands, and demand locations.</td>
</tr>
<tr>
<td><strong>Information System</strong></td>
<td>Generally well-defined, using advanced technology.</td>
<td>Information is often unreliable, incomplete or non-existent.</td>
</tr>
</tbody>
</table>
It is concluded that some of the concepts associated with commercial supply chains are
directly applicable to humanitarian relief chains. However, future work must develop
methods that specifically address the challenges presented by characteristics unique to
humanitarian relief and logistics of disaster response.

2.3. Logistics in Disaster Response

Altay and Green (2006) surveyed the existing literature of emergency disaster
management. They concluded that most of the disaster management research was related
to social sciences and humanities literature. (Refer to Hughes (1991) and
http://www.geo.umass.edu/courses/geo510/index.htm for a comprehensive bibliography)

That type of research focuses on subjects such as disaster results, sociological impacts on
communities, psychological effects on survivors or rescue teams, and organizational
design and communication problems. They observed that the existing literature is
relatively light on disaster management articles that used operations research or
management science (OR/MS) techniques to deal with the problem. However, they
realized the literature trend that more studies are focusing on OR/MS techniques in recent
years and emphasized the need for more research in future.

In the following sections, a summery of studies is presented that use OR/MS techniques
to model and optimize the emergency disaster management activities. This is not an
exclusive list of publication in the field and is only intended to focus on key studies in the
past that successfully used techniques that are relevant to the subject of this dissertation.

2.3.1 Early Ages

A number of authors have recognized the problem of emergency response management in
its early ages. Kemball-Cook and Stephenson (1984) addressed the need for logistics
management in relief operations for the increasing refugee population in Somalia.
Ardekani and Hobeika (1988) addressed the need of logistics management in relief
operations for the 1985 Mexico City earthquake. Knott (1987) developed a linear programming model for the bulk food transportation problem and the efficient use of the truck fleet to minimize the transportation cost or to maximize the amount of food delivered (single commodity, single modal network flow problem). In another article, Knott (1988) developed a linear programming model using expert knowledge for the vehicle scheduling of bulk relief of food to a disaster area.

Ray (1987) developed a single-commodity, multi-modal network flow model on a capacitated network over a multi-period planning horizon to minimize the sum of all costs incurred during the transport and storage of food aid. Brown and Vassiliou (1993) developed a real-time decision support system which uses optimization methods, simulation, and the decision maker’s judgment for operational assignment of units to tasks and for tactical allocation of units to task requirements in repairing major damage to public works following a disaster.

The literature in the multi-commodity, multi-modal network flow problem was relatively sparse. Crainic and Rousseau (1986) developed an optimization algorithm based on decomposition and column generation principles to minimize the total operating and delay cost for multi-commodity, multi-modal freight transportation when a single organization controls both the service network and the transportation of goods. Guelat et al. (1990) presented a multi-commodity, multi-modal network assignment model for the purpose of strategic planning to predict multi-commodity flows over a multi-modal network. The objective function to be minimized was the sum of total routing cost and total transfer cost.

2.3.2 Recent Studies

Technology advancement in resent years opened new doors for researchers. Haghani and Oh (1996) proposed a formulation and solution of a multi-commodity, multi-modal network flow model for disaster relief operations. Their model can determine detailed
routing and scheduling plans for multiple transportation modes carrying various relief commodities from multiple supply points to demand points in the disaster area. They formulated the multi-depot mixed pickup and delivery vehicle routing problem with time windows as a special network flow problem over a time-space network. The objective was minimizing the sum of the vehicular flow costs, commodity flow costs, supply/demand storage costs and inter-modal transfer costs over all time periods. They developed two heuristic solution algorithms; the first was a Lagrangian relaxation approach, and the second was an iterative fix-and-run process. Their work is one of the few that can be implemented at operational level.

Barbarosoglu et al. (2002) focused on tactical and operational scheduling of helicopter activities in a disaster relief operation. They proposed a bi-level modeling framework to address the crew assignment, routing and transportation issues during the initial response phase of disaster management in a static manner. The top level mainly involves tactical decisions of determining the helicopter fleet, pilot assignments and the total number of tours to be performed by each helicopter without explicitly considering the detailed routing of the helicopters among disaster nodes. The base level addresses operational decisions such as the vehicle routing of helicopters from the operation base to disaster points in the emergency area, the load/unload, delivery, transshipment and rescue plans of each helicopter in each tour, and the re-fueling schedule of each helicopter given the solution of the top level.

Barbarosoglu and Arda (2004) developed a two-stage stochastic programming model for transportation planning in disaster response. Their study expanded on the deterministic multi-commodity, multi-modal network flow problem of Haghani and Oh (1996) by including uncertainties in supply, route capacities, and demand requirements. The authors designed 8 earthquake scenarios to test their approach on real-world problem instances. It is a planning model that does not deal with the important details that might be required at strategic or operational level. It does not address facility location problem or vehicle routing problem.
Ozdamar et al. (2004) addressed an emergency logistics problem for distributing multiple commodities from a number of supply centers to distribution centers near the affected areas. They formulated a multi-period multi-commodity network flow model to determine pick up and delivery schedules for vehicles as well as the quantities of loads delivered on these routes, with the objective of minimizing the amount of unsatisfied demand over time. The structure of the proposed formulation enabled them to regenerate plans based on changing demand, supply quantities, and fleet size. They developed an iterative Lagrangian relaxation algorithm and a greedy heuristic to solve the problem.

Yi and Ozdamar (2007) proposed a model that integrated the supply delivery with evacuation of wounded people in disaster response activities. They considered establishment of temporary emergency facilities in disaster area to serve the medical needs of victims immediately after disaster. They used the capacity of vehicles to move wounded people as well as relief commodities. Their model considered vehicle routing problem in conjunction with facility location problem. The proposed model is a mixed integer multi-commodity network flow model that treats vehicles as integer commodity flows rather than binary variables. That resulted in a more compact formulation but post processing was needed to extract detailed vehicle routing and pick up or delivery schedule. They reported that post processing algorithm was pseudo-polynomial in terms of the number of vehicles utilized.

In a recent study, Balcik and Beamon (2008) proposed a model to determine the number and locations of distribution centers to be uses in relief operations. They formulated the location finding problem as a variant of maximum covering problem when the demand estimations are available for a set of likely scenarios. Their objective function maximizes the total expected demand covered by the established distribution centers. They also solve for the amount of relief supplies to be stocked at each distribution center to meet the demands. Their study is one of the first to solve location finding problem in relief operation; however, they do not consider the location problem as part of a supply chain network. Consequently, they cannot consider the interactions between optimal
transportation of relief items from sources to the demand points and problem of finding optimal locations for distribution facilities.

2.4. Conclusions

There are not many publications that directly applied network modeling and optimization techniques in disaster response. Among those studies, there is no model that has integrated the interrelated problems of large scale multicommodity multimodal network flow problem, vehicle routing problem with split mixed pick up and delivery, and optimal location finding problem with multiple layers. Also to the best of our knowledge, there is no mathematical model that describes the special structure of FEMA’s supply chain system.

It is intended to fill some of these gaps in the following sections of this research. After providing a more formal description of the problem, a mathematical model is proposed that considers the specific characteristics of the described problem. The proposed mathematical model is a comprehensive system that integrates all the abovementioned properties. Offering this large-scale mathematical formulation is a theoretical contribution by itself. Nevertheless, solving this large-scale integrated formulation for real-world size problems requires special considerations.

The problem belongs to the NP-Hard class that is proven to be extremely time consuming as the problem size grows. Offering efficient solution algorithms and heuristics is another gap that is being investigated in this research. Extensive numerical and sensitivity analysis are required to evaluate the different aspects of the model and solution algorithms. Through case studies and simulation scenarios, it will be possible to compare the integrated model with sequential models. In this research, it is intended to investigate the possible advantages of using integrated model compared to solving the problem sequentially and report the results. Such comparison does not exist in current literature to the best of the author’s knowledge.
Chapter 3: Problem Description and Formulation

In this section, first a complete description of the problem and its properties are provided. Then the research approach to model the problem is described followed by the list of assumption made in order to properly model the problem. Finally, the details of mathematical formulation for the proposed model are presented in section 3.4. The parameters and variables are defined first, then the objective function of the optimization problem is introduced in section 3.4.4 followed by the formulation and description of the constraints of the problem. Finally, in section 3.5, a short form of the mathematical formulation is presented for the summary.

3.1. Problem Description

The goal is to orchestrate all the components and tasks in the emergency response operations after a large scale disaster, in order to minimize the loss of life or human sufferings by rapid and efficient delivery of critical relief items to the victims in the disaster areas.

Logistics planning in emergencies involves sending multiple relief commodities (e.g., medicine, water, food, equipment, etc) from a number of sources to several distribution points in the affected areas through a chain structure with some intermediate transfer nodes. The supplies may not be available immediately but arrive over time. It is a difficult task to decide on the right type and quantity of relief items, the sources and destinations of commodities, and also how to dispatch relief items to the recipients in order to minimize the pain and sufferings for the disaster victims.

It is necessary to have a quick estimation of the demands during the initial response time. It is essential to know the types of required commodities, the amount of each commodity per person or household, an estimation of the number of victims, and the geographical locations of the demands. The list of commodities includes but is not limited to water,
food, shelter, electric generators, medical supplies, cots, blankets, tarps and clothing. Some of the demand items are one-time demand while others are recurring (e.g. tent vs. water) and some demands are subject to expiration while others may be carried over (e.g. food vs. clothing). The demand usually overwhelms the capacity of the distribution network. The demand information might not be complete and accurate at the beginning but it is expected to improve over time.

Different aid organizations may employ their unique supply chain structure that governs the types of facilities to be used and the relationships among components of the chain. For example FEMA has its own supply chain structure for disaster response which is previously introduced in section 1.4. FEMA has distinguished 7 layers of facilities in its logistics chain. First 3 layers are permanent facilities to store and ship the relief items while the next 4 layers are temporary transfer facilities that their numbers and locations will be chosen during the response phase.

During the initial response time it is also necessary to set up temporary transfer facilities to receive, arrange, and ship the relief commodities through the distribution network. In risk mitigation studies for disasters, possible sites where these facilities can be situated are specified. Logistics coordination in disasters involves the selection of sites that result in the maximum coverage of affected areas and the minimum delays for supply delivery operations. Usually the number of these temporary facilities is limited because of the equipment and personnel constraints.

Each facility in the chain is subject to some capacity constraints. Capacities are defined for operations such as sending, receiving, and storing commodities. These capacities are different for each facility and are determined based on the type, size and layout of that facility. Also the availability of personnel and equipment may influence the capacities. In general, the capacity constraints can be defined in terms of the weight or volume of the commodities or they can be defined in terms of the numbers of the vehicles that are sent, received, or parked at the facility at a certain time. These are two different aspects and it is recommended to consider both capacities for each facility.
The transportation capacity is usually very limited in early hours or days after a disaster. It is very critical to assign the available fleet to the best possible use at any time. There is usually a shortage of vehicles in emergency operations so the model must keep track of the empty trucks in order to assign them to new missions after each delivery. More than one transportation mode may be hired to facilitate emergency response logistics. Consequently, the coordination and cooperation between transportation modes are necessary for managing the response operations and providing a seamless flow of relief commodities toward the aid recipients. The intermodal transfer of commodities is expected to happen in specific facilities but may be subject to some capacity constraints and transfer delays.

Vehicle routing and scheduling during the disaster response is also very important. A large number of vehicles might be used in response to large scale disasters. The model should be able to keep track of routings for each individual vehicle. Also, it is required to have a detailed schedule for pick up and delivery of relief commodities by each vehicle in each transportation mode. Nonetheless, the vehicle routing in disaster situations are quite different from conventional vehicle routings. The vehicles do not need to form a tour and return to the assigned depot, but they might be assigned to a new path at any time. They are expected to perform mixed pickup and delivery of multiple items between different nodes of the network as the supplies and demands arise over time.

The disaster area is a dynamic environment and emergency logistics are very time sensitive operations. The disaster might still be evolving when the response operations start. Also the lack of vital information about available infrastructure, supplies, and demands in the initial periods after the disaster may complicate this dynamic environment even more. The high stake of life-or-death for disaster victims urges the needs for higher levels of accuracy and tractability. Despite all the necessary preparedness and planning at strategic level, dealing with the problem as operational level is very important. Modeling and optimization at operation level is the only approach to capture the realities of the time sensitive emergency response operations.
The other important issue is considering equity and fairness among aid recipients. Based on the geographical dispersion of victims and availability of resources over time and space, it is easy to favor the demands of one group of victims over another. Even though some variations are inevitable, the ideal pattern is to distribute the help items evenly and fairly among the victims. The models and procedures with general objective functions are prone to ignore the equity and level of service requirements in order to get a better numerical solution. It is very important to realize the need for procedures and constraints that prevent any sort of discrimination among victims, as much as possible.

The equity constraint between populations can be defined over time, and over commodities. It is not appropriate to satisfy all the demands of one group in early stages while the other group of victims does not receive any help until very later times. It is more acceptable to fairly distribute the available relief items among all recipients even though it might not be enough for every one at the current instance. The relief operations will continue over time as more resources are expected to become available. The equity over commodities is also important. For example, it is not acceptable to send all the available water to one group of victims and send all the available meals to another group. It is expected to fairly share the limited resources of transportation capacity and disaster relief commodities.

3.2. Time-Space Network

A physical network is converted into a time-space network to account for the dynamic decision process. In the context of the problem of this research, nodes in the time-space network represent the physical locations of the supply and demand points for each mode and over time, while the arcs represent the connecting routes between these points. Each node in the physical network is represented by the number of mode types at each time period of the planning horizon. In a sense the time-space network in this context can be thought of as an overlay of several physical networks, one for each mode, which are represented over time. These overlaid networks are connected to each other by the
transfer links which make it possible for the commodities to be transferred between modes.

There are three types of traffic flow on the physical network. The first type is the routing traffic that moves from one node to another node by a certain type of mode. The second type is the transfer traffic that changes mode type from one mode to another mode at a certain node. The third type is the supply or demand carry-over that is carried over to the next time period at a certain node.

The duration of one time period should be based on the link travel time for each mode. It must be small enough so that the amount of slack time on the routing links is not excessive. However, the planning horizon should not be too short in order for the time-space network to be meaningful. Also, it should not be too long as it will increase the dimension of the time-space network and make the problem difficult to solve.

The movements of commodities and personnel on a physical network over time are represented by the links in the time-space network. Routing Links represent the physical movement of commodities in space. Transfer Links represent the transfer of traffic between the available modes. Finally, Supply or Demand Carry-Over Links represent the commodity supply or demand carry over from one period to the next.

Figure 3.1 shows a physical network that has 4 nodes, 5 two-way arcs, and 2 modes. Node A represents the origin and nodes C and D denote the destinations. The travel time over the arc in each mode type is shown in terms of time periods. Figure 3.2 shows the time-space network generated from Figure 3.1 with 6 time units of planning horizon. The length of one time period is assumed to be one time unit. In Figure 3.2, all transfer time is assumed to be one time period. The carry-over links that are created at node A and B represent the supply carry-over links. On the other hand, the carry-over links that are shown at node C and D denote the demand carry-over links.
Figure 3.1. Physical Network

Figure 3.2. Time-Space Network
3.3. Modeling Approach

A mathematical framework is suggested to model the supply chain operations during emergency response, as the problem is described in section 3.1. The main characteristics of the modeling approach can be summarized as follow:

- **Operational Level:** to capture time sensitive details of the emergency response operations, the problem is formulated at operational level.
- **FEMA Structure:** the proposed model is in compliance with FEMA’s 7-layer supply chain structure.
- **Time-Space Network:** to account for the dynamic decision process, the physical network must be converted to a time-space network. The nodes of this network represent the facilities in FEMA structure. The links consist of existing physical links, delay or storage links, and intermodal transfer links.
- **Facility Location:** the optimal locations to establish temporary facilities are selected from a set of potential sites. The maximum number of each facility type and their locations are dynamic and can change over time as the relief operations proceed.
- **Facility Capacity:** each facility has maximum capacities for sending, receiving, and storing commodities as well as vehicles.
- **Demand:** the demand is multi-commodity and usually overwhelms the capacity of the distribution network. Specific decision variables are defined that keep track of unsatisfied demand at each demand point for each commodity and during all time periods.
- **Supply:** similar to the demand, the supply is multi-commodity and may come from various sources. The problem is formulated as a variation of multi-commodity network flow problem.
- **Multi Modal:** since more than one mode of transportation may be hired in the emergency response logistics, the problem is a variation of multi-modal network flow problem.
- **Vehicle Routing:** in order to model the complicated routing and delivery operations in disaster response, the vehicles are treated as flow of integer commodities over the time-space network. This results in a mixed integer multi-commodity formulation.

- **Network Capacity:** a set of constraints is used to link the relief commodities with the vehicles. As a result, the flow of commodities is only possible when accompanied by enough vehicle capacity for that specific link and time.

- **Integrated Model:** all decisions of facility location, supply delivery, and vehicle routing, are interrelated. Our approach provides an integrated model to find the global solution for this problem.

- **Equity:** equity and fairness among disaster victims is modeled through a set of constraints that enforce a minimum level-of-service for each victim. The equity is required for each relief item and over all time periods.

- **Objective Function:** the objective of this model is to minimize the pain and suffering of the disaster victims. It is formulated as total of unsatisfied demand summarized for all victims, for all relief items, and during all time periods.

### 3.4 Assumptions

1- It is assumed that the following information is given:

- Demands: types, locations, amounts
- Supply: types, locations, amounts
- Permanent Facilities: types, locations, capacities
- Temporary Facilities: set of potential sites for each type, capacities of each type
- Network: link-node incidence matrix for each transportation mode
- Vehicles: number available for each mode and their initial location, capacity of each vehicle
- Travel Times: travel time on each link for each transportation mode.
2- Because the model is at the operational level, it is assumed that the problem is deterministic. The required information is estimated or known at the beginning of the operations. The model can adapt to the required information as it evolves over time.

3- Supply Chain Structure:
   - It is assumed that the flow of commodities between each two node is possible only if it is in compliance with FEMA’s structure shown in Figure 1.5. For example, the supply from LC can not be sent directly to SSA. It should be sent to MOBs or FOSAs first.
   - It is assumed that for the empty vehicles, a direct link exists that connects each pair of nodes. For example, if a vehicle delivers all of its supply at a POD, it can directly go to any other node of the network to pick up new supplies.

4- Finding the number and location of Points of Distribution (PODs) is not considered in this study. It is assumed that PODs are established by local authorities. As a result, the location and amount of demands at each POD is a given data for this model.

3.5. Mathematical model

In this section initially the notations and required parameters for the formulation are introduced. After that, the decision variables of the mathematical model are defined. Then the objective function formulation is presented followed by formulation and introduction of the constraints of the problem.

3.5.1 Notations

\( N \) = Set of all nodes. \( i, j \in N \) are indices

\( LC \) = Set of Logistic Center sites

\( CSS \) = Set of Commercial Storage Sites

\( VEN \) = Set of commodity Vendor sites

\( MOB \) = Set of potential sites for Mobilization Centers
FOSA = Set of potential sites for Federal Operational Staging Areas
SSA = Set of potential sites for State Staging Areas
POD = Set of Points of Distribution (demand nodes)
U = Set of supply nodes and transshipment nodes (LC, VEN, CSS, MOB, FOSA, SSA)
V = Set of Permanent Facilities (LC, CSS, VEN)
W = Set of potential sites for all Temporary Facilities (MOB, FOSA, SSA)
C = Set of Commodities, \( c \in C \) is an index
M = Set of transportation Modes, \( m \in M \) is an index
T = Time horizon of response operations. \( t, t' \in T \) are indices

3.5.2 Parameters

Supply and Demand

\( Sup^c_{it} \) = Amount of exogenous supply of commodity type \( c \) in node \( i \) at time \( t \)

\( Dem^c_{it} \) = Amount of exogenous demand of commodity type \( c \) in node \( i \) at time \( t \)

\( AV^m_{it} \) = Number of vehicles of mode \( m \) added to the network in node \( i \) at time \( t \),
    negative if vehicles removed

\( RU^c_{it} \) = Relative urgency of one unit of commodity \( c \), in node \( i \) at time \( t \)

Number of Facilities

\( MOB^t_{\text{max}} \) = Maximum number of Mobilization centers at time \( t \)

\( FOSA^t_{\text{max}} \) = Maximum number of Federal Operational Staging Areas at time \( t \)

\( SSA^t_{\text{max}} \) = Maximum number of State Staging Areas at time \( t \)
Facility Capacity

\( U_{it}^{m} \) = Unloading capacity for the facility in node \( i \) for mode \( m \) at time \( t \)

\( S_{it} \) = Storage capacity for the facility in node \( i \) at time \( t \)

\( L_{it}^{m} \) = Loading capacity for the facility in node \( i \) for mode \( m \) at time \( t \)

\( VR_{it}^{m} \) = Maximum number of mode \( m \) vehicles that can be received at the facility in node \( i \) at time \( t \)

\( VP_{it}^{m} \) = Maximum number of mode \( m \) vehicles that can be parked (carried over) at the facility in node \( i \) from time \( t \) to time \( t + 1 \)

\( VS_{it}^{m} \) = Maximum number of mode \( m \) vehicles that can be sent out from the facility in node \( i \) at time \( t \)

Vehicle Capacity

\( cap_{m} \) = Loading capacity of vehicles of mode \( m \)

\( w_{c} \) = Unit weight of commodity \( c \)

Transportation

\( t_{ijm} \) = Travel time from node \( i \) to node \( j \) for vehicles of mode \( m \)

\( K_{mm'} \) = Time required to transfer commodities from mode \( m \) to mode \( m' \)

3.5.3 Decision Variables

Location Problem

\( Loc_{i}^{t} \) = 1 if temporary facility of appropriate type is located at potential site \( i \), at time \( t \); equal to 0 otherwise. The temporary facility will be a Mobilization Center if
\( i \in MOB \), a Federal Operational Staging Area if \( i \in FOSA \), and a State Staging Area if \( i \in SSA \).

**Commodity and Vehicle Flow**

\[ X_{ijt}^{cm} = \text{Flow of commodity type } c \text{ shipped from node } i \text{ to node } j \text{ by mode } m \text{ at time } t \]

\[ Y_{ijt}^m = \text{Flow of vehicles of mode } m \text{ from node } i \text{ to node } j \text{ at time } t \]

\[ CX_{it}^c = \text{Amount of commodity type } c \text{ in node } i \text{ which is carried over from time period } t \text{ to } t + 1 \]

\[ CY_{it}^m = \text{Number of vehicles of mode } m \text{ in node } i \text{ which is carried over from time period } t \text{ to } t + 1 \]

\[ XT_{it}^{cmn'} = \text{Amount of commodity type } c \text{ in node } i \text{ which is transferred from mode } m \text{ to mode } m' \text{ at time } t \]

\[ UD_{it}^c = \text{Amount of unsatisfied demand of commodity type } c \text{ in node } i \text{ at time } t \]

**3.5.4 Objective Function**

Minimize

\[
\sum_{i \in V} \sum_{t} \sum_{c} RU_{it}^c \cdot UD_{it}^c \tag{3.1}
\]

The objective function in equation (3.1) minimizes the total amount of weighted unsatisfied demand over all commodities, times, and demand points. \( RU_{it}^c \) is the relative urgency associated with each commodity, time, and demand point. If there is any desire to consider a commodity being more important than others at any time or for any demand point, \( RU_{it}^c \) can enforce that desire. Higher value of \( RU_{it}^c \) translates into higher urgencies. If all the commodities happen to be of the same importance, \( RU_{it}^c \) can be set equal to 1.
3.5.5 Constraints

Commodity Flow Constraints

Supply nodes and Transfer nodes:

\[
\sum_j X_{ji(t-t_{jin})}^{cm} + \sum_{m'} XT_{i(k_{i,m'})}^{cm} + CX_{i(t-1)}^c + Sup_{it}^c
\]

\[
= \sum_j X_{ijt}^{cm} + \sum_{m'} XT_{it}^{mm'} + CX_{it}^c \quad \forall i \in U, c, m, t \tag{3.2}
\]

Demand nodes:

\[
\sum_m \sum_j X_{ji(t-t_{jin})}^{cm} + UD_{it}^c = Dem_{it}^c + UD_{i(t-1)}^c \quad \forall i \in POD, c, t \tag{3.3}
\]

Equations (3.2) and (3.3) enforce the conservation of the flow for all commodities and modes at all nodes and time periods. Equation (3.2) requires that for supply nodes and transfer nodes, the sum of the flows entering each node plus exogenous supply should be equal to the sum of the flows that leave the same node. Equation (3.3) shows that the total flow entering each demand node plus the unsatisfied demand is equal to the exogenous demand at that node plus the unsatisfied demand from the previous time period.

Vehicular Flow Constraints

\[
\sum_j Y_{ji(t-t_{jin})}^m + CY_{i(t-1)}^m + AV_{it}^m = \sum_j Y_{ijt}^m + CY_{it}^m \quad \forall i \in N, m, t \tag{3.4}
\]

Equation (3.4) represents the conservation of flow for the vehicles. At any node i and time period t, total number of available vehicles of mode m is equal to the number of vehicles of mode m that left node j for node i at time \( t - t_{jin} \), plus the number of vehicles that were carried over from the previous time period, plus the number of vehicles that are added or removed to the fleet at that time. These vehicles are either sent out of the node or carried over to the next time period.
Linkage between Commodities and Vehicles

\[ Cap_m \times Y_{ij}^m \geq \sum c w_c X_{ij}^{cm} \quad \forall i \in N, m, t \] (3.5)

Constraint (3.5) makes sure that commodities are not sent out of a node unless a number of vehicles with enough capacity are available at that node.

Facility Capacities for Permanent Facilities

\[ \sum \sum X_{ijt}^{cm} \leq \text{Lcap}_{it}^m \quad \forall i \in V, m, t \] (3.6)

\[ \sum \sum \sum X_{ji(t-t_{jin})}^{cm} \leq \text{Ucap}_{it}^m \quad \forall i \in LC, m, t \] (3.7)

Equations (3.6), (3.7), and (3.8) are the maximum capacity for loading, unloading, and storage of commodities at permanent facilities. Equations (3.9), (3.10), and (3.11) require the maximum number of vehicles that are sent, received, and parked at each facility to be less than the relevant capacities.

Facility Location and Capacities for Temporary Facilities

\[ \sum \sum X_{ijt}^{cm} \leq \text{Lcap}_{it}^m \times \text{Loc}_i^t \quad \forall i \in W, m, t \] (3.12)
Equations (3.12) through (3.14) enforce the loading, unloading, and storage capacity for the temporary facilities. If the facility is selected to be set up at potential site \(i\), the respected capacity constraint is enforced. If it is decided not to set up the temporary facility at location \(i\), the same constraints require that all the flows in and out of that node to be equal to zero.

Equations (3.15) through (3.17) require the maximum number of vehicles that are sent, received, and parked at each temporary facility to be less than the relevant capacities. The numbers are zero if the facility is not selected for that node.

Equations (3.18) through (3.20) oblige the maximum number of each temporary facility type to be limited by the maximum allowable numbers for that facility type during the chosen time periods.
Capacities for PODs:

\[
\sum_{c} \sum_{j} X_{ji(t-t_{jim})}^{cm} \leq \text{Ucap}_{it}^m \quad \forall i \in \text{POD}, m, t \tag{3.21}
\]

\[
\sum_{j} Y_{ji(t-t_{jim})}^m \leq \text{VRcap}_{it}^m \quad \forall i \in \text{POD}, m, t \tag{3.22}
\]

\[
\sum_{j} Y_{ji(t-t_{jim})}^m + CY_{i(t-1)}^m \leq \text{VPcap}_{it}^m \quad \forall i \in \text{POD}, m, t \tag{3.23}
\]

Equation (3.21) enforces the commodity unloading capacity at points of distribution. Equation (3.22) and (3.23) represent the vehicle receiving and vehicle parking capacities for each point of distribution.

Equity Constraint:

\[
\sum_{t'} \sum_{m} \sum_{j} X_{ji'(t'-t_{jim})}^{cm} \geq \alpha_{\text{min}} \cdot \sum_{t'} \text{Dem}_{it'}^c \quad \forall i \in \text{POD}, c, t \tag{3.24}
\]

\[
\sum_{t'} \sum_{m} \sum_{j} X_{ji'(t'-t_{jim})}^{cm} \geq \beta_{\text{min}} \quad \forall i \in \text{POD}, c, t \tag{3.25}
\]

\[
\sum_{c} \sum_{t'} \sum_{m} \sum_{j} X_{ji'(t'-t_{jim})}^{cm} \geq \gamma_{\text{min}} \quad \forall i \in \text{POD}, t \tag{3.26}
\]

Equation (3.24) enforces a minimum percentage of total demand for a specific commodity c, to be satisfied by the time period t. It might not be always possible to deliver the required amount by time t; in that case, this constraint makes the optimization problem infeasible.
Equation (3.25) requires that from all commodities being delivered to node i by time t, at least $\beta_{mn}$ percent to be commodity c.

Equation (3.26) ensures that sum of total commodities delivered at point i to be more than a minimum percentage of all the commodities that are being delivered among all demand points.

**Nonnegativity and Integrality:**

\[
X_{ijt}^{cm}, CX_{it}^{c}, XT_{it}^{cmm}, UD_{it}^{c} \geq 0 \quad \text{Real-valued variables}
\]

\[
Y_{ijt}^{m}, CY_{it}^{m} \geq 0 \quad \text{General integer variables}
\]

\[
LOC_{i}^{t} \in (0,1) \quad \text{Binary integer variables}
\]

**3.6. Summary**

The proposed mathematical model in this chapter can be summarized as follows:

Minimize Total Unsatisfied Weighted Demand

Subject to:

- Commodity Flow Constraints
- Vehicular Flow Constraints
- Constraints that Link Commodities and Vehicles
- Facilities Location Constraints
- Facility Capacities Constraints
- Equity (recipients/commodities) Constraints
- Nonnegativity and Integrality Constraints
Chapter 4: Numerical Study

In this chapter, a set of numerical experiments are conducted to evaluate the features of the proposed formulation. At this stage, it is tried to keep the problem size small so it can be solvable by commercial solver and the results can be analyzed easier. However, the small-size problem should still fully represent all the elements of the proposed model. The experimental study should comply with FEMA’s structure and the scale of the problem should be comparable to the real-world-size problems to evaluate the capabilities of the proposed model.

4.1. Design of Sample Problems

The numerical problem in this chapter is an imaginary scenario where a natural disaster such as a hurricane strikes the southern coast of the United States. It is assumed that two separate regions, one in Mississippi and one in Louisiana, are affected. The disaster area in Mississippi is spread along the coast while the disaster area in Louisiana is more inland and has a rectangular shape. Figures 4.1 and 4.2 show the affected disaster areas.

![Figure 4.1 General Disaster Area](image)
For the numerical study, it is assumed that only the Atlanta logistics center (LC) is used. One commercial storage site (CSS) in Charlotte, North Carolina and one vendor (VEN) in Nashville, Tennessee are also used to store the relief items.

For temporary facilities at federal level, four potential sites for mobilization centers (MOB) are suggested. There are also four potential sites for federal operational staging areas (FOSA). These facilities are able to send supplies to both disaster areas. At the state level, a total of 10 potential sites for state staging areas (SSA) are suggested. Four potential SSA are planned to serve the disaster area in Mississippi and six potential SSA are suggested for Louisiana. The initial post-disaster surveys estimate that approximately 20’000 person are affected and twenty points of distribution (POD) are needed to serve this population. Eight PODs are selected for Mississippi area and twelve PODs will serve the victims in Louisiana. Table 4.1 summarizes the list of facilities in the distribution network. For this numerical study, there are a total of 41 permanent and temporary facilities in the network. Figures 4.3, 4.4, and 4.5 illustrate the locations of these facilities on the map. 
### Table 4.1 List of Facilities in Distribution Network

<table>
<thead>
<tr>
<th>Node</th>
<th>Facility TYPE</th>
<th>Location</th>
<th>Latitude</th>
<th>Longitude</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LC</td>
<td>Atlanta, GA</td>
<td>33° 44' 6.59&quot;N</td>
<td>84° 23' 45.13&quot;W</td>
</tr>
<tr>
<td>2</td>
<td>CSS</td>
<td>Charlotte, NC</td>
<td>35° 13' 47.00&quot;N</td>
<td>80° 50' 36.54&quot;W</td>
</tr>
<tr>
<td>3</td>
<td>VEN</td>
<td>Nashville, TN</td>
<td>36° 11' 9.34&quot;N</td>
<td>86° 43' 25.24&quot;W</td>
</tr>
<tr>
<td>4</td>
<td>MOB</td>
<td>Montgomery, AL</td>
<td>32° 22' 3.90&quot;N</td>
<td>86° 18' 6.88&quot;W</td>
</tr>
<tr>
<td>5</td>
<td>MOB</td>
<td>Jackson, MS</td>
<td>32° 18' 20.21&quot;N</td>
<td>90° 10' 7.65&quot;W</td>
</tr>
<tr>
<td>6</td>
<td>MOB</td>
<td>Shreveport, LA</td>
<td>32° 30' 44.00&quot;N</td>
<td>93° 44' 25.76&quot;W</td>
</tr>
<tr>
<td>7</td>
<td>MOB</td>
<td>Beaumont, TX</td>
<td>30° 4' 47.76&quot;N</td>
<td>94° 6' 5.76&quot;W</td>
</tr>
<tr>
<td>8</td>
<td>FOSA</td>
<td>Mobile, AL</td>
<td>30° 41' 20.63&quot;N</td>
<td>88° 24' 55.66&quot;W</td>
</tr>
<tr>
<td>9</td>
<td>FOSA</td>
<td>Hattiesburg, MS</td>
<td>31° 18' 16.67&quot;N</td>
<td>89° 18' 41.34&quot;W</td>
</tr>
<tr>
<td>10</td>
<td>FOSA</td>
<td>Baton Rouge, LA</td>
<td>30° 26' 49.07&quot;N</td>
<td>91° 11' 43.33&quot;W</td>
</tr>
<tr>
<td>11</td>
<td>FOSA</td>
<td>Lafayette, LA</td>
<td>30° 12' 39.24&quot;N</td>
<td>92° 0' 36.65&quot;W</td>
</tr>
<tr>
<td>12</td>
<td>SSA</td>
<td>Moss Point, MS</td>
<td>30° 25' 36.88&quot;N</td>
<td>88° 31' 20.06&quot;W</td>
</tr>
<tr>
<td>13</td>
<td>SSA</td>
<td>Gulf Hills, MS</td>
<td>30° 26' 14.86&quot;N</td>
<td>88° 48' 52.52&quot;W</td>
</tr>
<tr>
<td>14</td>
<td>SSA</td>
<td>Wool Market, MS</td>
<td>30° 28' 4.60&quot;N</td>
<td>88° 59' 49.49&quot;W</td>
</tr>
<tr>
<td>15</td>
<td>SSA</td>
<td>Diamond Head, MS</td>
<td>30° 22' 48.38&quot;N</td>
<td>89° 22' 32.34&quot;W</td>
</tr>
<tr>
<td>16</td>
<td>SSA</td>
<td>Boutte, LA</td>
<td>29° 54' 5.23&quot;N</td>
<td>90° 23' 28.72&quot;W</td>
</tr>
<tr>
<td>17</td>
<td>SSA</td>
<td>South Vacherie, LA</td>
<td>29° 54' 40.81&quot;N</td>
<td>90° 43' 44.11&quot;W</td>
</tr>
<tr>
<td>18</td>
<td>SSA</td>
<td>Supreme, LA</td>
<td>29° 52' 2.73&quot;N</td>
<td>90° 59' 48.48&quot;W</td>
</tr>
<tr>
<td>19</td>
<td>SSA</td>
<td>Pierre Part, LA</td>
<td>29° 57' 19.71&quot;N</td>
<td>91° 12' 45.39&quot;W</td>
</tr>
<tr>
<td>20</td>
<td>SSA</td>
<td>Berwick, LA</td>
<td>29° 42' 3.16&quot;N</td>
<td>91° 13' 51.50&quot;W</td>
</tr>
<tr>
<td>21</td>
<td>SSA</td>
<td>Franklin, LA</td>
<td>29° 47' 17.49&quot;N</td>
<td>91° 30' 33.94&quot;W</td>
</tr>
<tr>
<td>22</td>
<td>POD</td>
<td>Pascagoula, MS</td>
<td>30° 21' 54.42&quot;N</td>
<td>88° 32' 54.99&quot;W</td>
</tr>
<tr>
<td>23</td>
<td>POD</td>
<td>Gautier, MS</td>
<td>30° 23' 26.03&quot;N</td>
<td>88° 38' 44.36&quot;W</td>
</tr>
<tr>
<td>24</td>
<td>POD</td>
<td>Gulf Park, MS</td>
<td>30° 22' 45.27&quot;N</td>
<td>88° 45' 32.84&quot;W</td>
</tr>
<tr>
<td>25</td>
<td>POD</td>
<td>Ocean Springs, MS</td>
<td>30° 24' 39.92&quot;N</td>
<td>88° 47' 77.53&quot;W</td>
</tr>
<tr>
<td>26</td>
<td>POD</td>
<td>Biloxi, MS</td>
<td>30° 24' 27.58&quot;N</td>
<td>88° 55' 59.03&quot;W</td>
</tr>
<tr>
<td>27</td>
<td>POD</td>
<td>Gulf Port, MS</td>
<td>30° 21' 57.06&quot;N</td>
<td>89° 5' 30.75&quot;W</td>
</tr>
<tr>
<td>28</td>
<td>POD</td>
<td>Long Beach, MS</td>
<td>30° 20' 24.34&quot;N</td>
<td>89° 11' 03.03&quot;W</td>
</tr>
<tr>
<td>29</td>
<td>POD</td>
<td>Pass Christian, MS</td>
<td>30° 19' 33.94&quot;N</td>
<td>89° 14' 57.61&quot;W</td>
</tr>
<tr>
<td>30</td>
<td>POD</td>
<td>Lock Port, LA</td>
<td>29° 38' 22.61&quot;N</td>
<td>90° 32' 14.66&quot;W</td>
</tr>
<tr>
<td>31</td>
<td>POD</td>
<td>Mathews, LA</td>
<td>29° 41' 38.04&quot;N</td>
<td>90° 33' 6.94&quot;W</td>
</tr>
<tr>
<td>32</td>
<td>POD</td>
<td>Raceland, LA</td>
<td>29° 43' 19.20&quot;N</td>
<td>90° 35' 17.82&quot;W</td>
</tr>
<tr>
<td>33</td>
<td>POD</td>
<td>Houma, LA</td>
<td>29° 35' 13.92&quot;N</td>
<td>90° 42' 15.67&quot;W</td>
</tr>
<tr>
<td>34</td>
<td>POD</td>
<td>Bayou Cane, LA</td>
<td>29° 37' 29.72&quot;N</td>
<td>90° 45' 3.30&quot;W</td>
</tr>
<tr>
<td>35</td>
<td>POD</td>
<td>Gray, LA</td>
<td>29° 40' 45.88&quot;N</td>
<td>90° 47' 0.88&quot;W</td>
</tr>
<tr>
<td>36</td>
<td>POD</td>
<td>Shriever, LA</td>
<td>29° 44' 25.98&quot;N</td>
<td>90° 49' 50.30&quot;W</td>
</tr>
<tr>
<td>37</td>
<td>POD</td>
<td>Tibodaux, LA</td>
<td>29° 47' 48.50&quot;N</td>
<td>90° 49' 7.77&quot;W</td>
</tr>
<tr>
<td>38</td>
<td>POD</td>
<td>Amelia, LA</td>
<td>29° 40' 16.24&quot;N</td>
<td>91° 6' 15.78&quot;W</td>
</tr>
<tr>
<td>39</td>
<td>POD</td>
<td>Morgan City, LA</td>
<td>29° 42' 9.13&quot;N</td>
<td>91° 11' 25.60&quot;W</td>
</tr>
<tr>
<td>40</td>
<td>POD</td>
<td>Bayou Vista, LA</td>
<td>29° 41' 28.15&quot;N</td>
<td>91° 16' 13.42&quot;W</td>
</tr>
<tr>
<td>41</td>
<td>POD</td>
<td>Patterson, LA</td>
<td>29° 41' 23.98&quot;N</td>
<td>91° 18' 33.41&quot;W</td>
</tr>
</tbody>
</table>
Figure 4.3 Map of Federal Level Facilities

Figure 4.4 Map of State Level Facilities in Mississippi
SUPPLY and DEMAND

There are several commodities that need to be distributed among the disaster victims. The type and amount of each commodity depends on many factors such as type of disaster, level of destruction, weather conditions, etc. Table 4.2 suggests a list of required items and the amount per day per survivor. Adding up the last column of Table 4.2, it can be seen that for each survivor a total of about 30 ft³ of relief items per day are required.

For the sake of simplicity, it is assumed that only 2 types of commodities (commodity 1 and commodity 2) are required in this numerical experiment. However, to preserve the scale of demands, the total amount per each survivor is kept at 30 ft³ per day. It is also assumed that survivors in disaster zone 1 (Mississippi), need 20 ft³ of commodity 1 and 10 ft³ of commodity 2, per day. On the other hand, survivors in disaster zone 2 (Louisiana), assumed to need 10 ft³ of commodity 1 and 20 ft³ of commodity 2, per day. This will provide the opportunity to analyze the effects of different demand types on the results of the model.
Table 4.2 List of Required Items for Survivors of a Disaster

<table>
<thead>
<tr>
<th>Item</th>
<th>Quantity per day per survivor</th>
<th>Survivors served</th>
<th>Notional dimensions (ft³)</th>
<th>Volume (ft³)</th>
<th>Total requirement per survivor (ft³)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Water (drinking)</td>
<td>1 gallon</td>
<td>1</td>
<td>1.0 1.0 1.0</td>
<td>1.0</td>
<td>3.000</td>
</tr>
<tr>
<td>Water (non-potable)</td>
<td>1 gallon</td>
<td>1</td>
<td>1.0 1.0 1.0</td>
<td>1.0</td>
<td>3.000</td>
</tr>
<tr>
<td>Meals (MREs)</td>
<td>3 meals</td>
<td>1</td>
<td>1.0 1.0 1.5</td>
<td>1.5</td>
<td>4.500</td>
</tr>
<tr>
<td>Portable shelter</td>
<td>1 shelter</td>
<td>4</td>
<td>6.0 2.0 1.5</td>
<td>4.5</td>
<td>4.500</td>
</tr>
<tr>
<td>Basic medical kit</td>
<td>1 kit</td>
<td>3</td>
<td>1.0 1.0 1.0</td>
<td>0.3</td>
<td>0.333</td>
</tr>
<tr>
<td>Cot</td>
<td>1 cot</td>
<td>2</td>
<td>3.0 2.0 1.0</td>
<td>3.0</td>
<td>3.000</td>
</tr>
<tr>
<td>Blanket</td>
<td>1 blanket</td>
<td>1</td>
<td>2.0 2.0 0.5</td>
<td>2.0</td>
<td>2.000</td>
</tr>
<tr>
<td>Tarp</td>
<td>1 tarp</td>
<td>3</td>
<td>3.0 3.0 1.0</td>
<td>3.0</td>
<td>3.000</td>
</tr>
<tr>
<td>Ice</td>
<td>1 gallon</td>
<td>10</td>
<td>1.0 1.0 1.0</td>
<td>0.1</td>
<td>0.300</td>
</tr>
<tr>
<td>Baby supplies</td>
<td>1 box</td>
<td>5</td>
<td>1.0 1.0 1.0</td>
<td>0.2</td>
<td>0.600</td>
</tr>
<tr>
<td>Generator</td>
<td>1 generator</td>
<td>500</td>
<td>8.0 8.0 6.0</td>
<td>0.8</td>
<td>0.768</td>
</tr>
<tr>
<td>Clothing</td>
<td>1 bag</td>
<td>1</td>
<td>2.0 2.0 1.0</td>
<td>4.0</td>
<td>4.000</td>
</tr>
<tr>
<td>Plywood</td>
<td>2 sheets</td>
<td>3</td>
<td>4.0 8.0 0.1</td>
<td>1.3</td>
<td>4.000</td>
</tr>
<tr>
<td>Nails</td>
<td>1 box</td>
<td>3</td>
<td>1.0 1.0 1.0</td>
<td>0.3</td>
<td>1.000</td>
</tr>
</tbody>
</table>

Supply sources are Logistics Center, Commercial Storage Site, and Vendor. It is assumed that 40% of total supply is stored at LC, 20% at CSS, and 40% at the vendor site. Total demand for 20,000 survivors will be 600,000 ft³ per day. The demand for Commodity 1 is 280,000 ft³ per day and the demand for Commodity 2 is 320,000 ft³ per day. For this problem, it is assumed that supplies for one day are available and are stored at the three supply sources.

**VEHICLES**

For this problem, only one transportation mode is used which is trucking. The common vehicle is a 53ft trailer truck which has the volume capacity of approximately 6000 ft³. For the base case, 100 trucks are available at the beginning of the operations. Initially, 40 trucks are located at LC, while 30 trucks are at CSS and VEN sites, each.

**NETWORK LINKS and TRAVEL TIMES**

There are 2 types of flows in this problem, flow of commodities and flow of vehicles. The commodity flows must comply with the hierarchical structure of FEMA explained in section 1.4. For example, supplies from a VEN can only be sent to LC, or supply from
LC can be sent to all MOBs and FOSAs. Supplies in MOBs can be sent to other MOBs or to FOSAs. Supplies from FOSAs can be sent to other FOSAs and to SSAs, as long as it remains in the same State. Supplies received at each SSA can be sent to other SSAs in the same State or must be delivered to PODs of that State.

The flow of vehicles in the network is much less restricted compared to commodity flows. It is assumed that there is a link between each pair of nodes in the network. Basically, empty vehicles are free to travel between each two nodes of the network without the need to visit any intermediate nodes. As a result, when a vehicle is carrying supplies, it must follow the more restricted hierarchical network of FEMA. But when the vehicle unloads all its supply, either at intermediate nodes or final PODs, it is free to go to any other node in the network to pick up supplies and start a new round of delivery.

Link travel time functions for the proposed formulation can be completely arbitrary. The formulation is capable of dealing with time-variable travel times as well as fixed travel times. For this numerical study, the travel distance between any two nodes of the network is assumed to be equal to their Euclidian distance. The travel speed is assumed to be fixed for all the vehicles on the federal level network (between LC, CSS, VEN, MOBs, and FOSA) and to be equal to 50 miles per hour. However, for State level network (between FOSAs, SSAs, and PODs) the travel speed is assumed to be 40 miles per hour.

**TIME SCALE**

Selection of appropriate time step is a very important factor that can affect the performance of time-space networks dramatically. For each time period in the planning horizon, one layer of physical network will be added to the problem. This makes the problem size grow extremely fast with the number of time steps in the planning horizon. For example if the planning horizon is only 1 day, with the choice of time step $t = 5$ minutes, it will be $24 \times 60 / 5 = 288$ layers of the network. So to keep the problem at a reasonable size, it is favorable to have long time steps.
On the other hand, shorter time steps will improve the accuracy of modeling the emergency response operations. For example if the time step is 1 hour, it is possible to model the state of the system only at every hour and not at the times in between. So from the accuracy perspective, it is favorable to have shorter time steps.

The other important issue in determining the time-step in this problem is the issue of dealing with very long and very short links. At the federal level network, nodes are usually far from each other and the links can range from a hundred miles to a few thousand miles. The travel time on those links with ground transportation can range from a few hours to up to one day or more. However, the nodes at the lower levels in the State networks can be very close to each other. It is very common to have PODs that are only a few miles apart. In this case, link travel times can be in the order of minutes. Figure 4.6 better shows the issue of scale in this problem on the disaster area map.

It is a difficult challenge to select a time-step that is suitable for very short links and very long links, at the same time. A very short time-step is necessary to model the short links even though it will increase the problem size very quickly. But the main issue is the sensitivity of travel times to the selected time-step. If a very short time-step is chosen, say 1 minute, it might be good for short links but the travel times on very long links will not be sensitive to that. It is very difficult, if not impossible, to predict the travel time between two nodes that are a thousand miles apart, with accuracy of 1 minute. For those links the 1-hour unit or 30-minute unit is more meaningful.
GEOGRAPHICAL DECOMPOSITION

To deal with this issue, a geographical decomposition method is proposed. The nodes at federal level (LC, CSS, VEN, MOB, FOSA) will be in one subset and the nodes at each State (FOSA, SSA, POD) will form another subset. Since the travel times between nodes in federal level network are usually long, it is possible to use a large time-step for them. Using similar argument, the State level nodes and links can be modeled with a short time-step. Figure 4.7 shows this decomposition.

Now the important issue is how to connect these separate time-space networks. Luckily, the special structure of FEMA’s supply chain offers the candidates. Federal Operational Staging Areas (FOSA) are the one and only interface between flow of commodities in federal level facilities and the designated state level facilities. We take advantage of this opportunity and select the FOSAs as transfer terminals between the sub-networks.

For this numerical study, time-step for federal zone, $t_1$, is chosen to be 30 minutes and time-step for state level zones, $t_2$, is selected to be 5 minutes. The travel times for this study are calculated based on the distance and a fixed average travel speed explained.
earlier. So based on the newly defined time steps of $t_1$ and $t_2$, travel times of federal zone links are being rounded to the nearest 30 minute interval and the travel times of state level zone links are being rounded to the nearest 5 minute.

Figure 4.7 Geographical Decomposition for Time Steps

How the FOSA nodes connect two sub-networks with different time steps is shown in Figure 4.8. This graph indicates that the arcs entering FOSA from federal network or leaving the FOSA toward the federal network can exist only at $t_1=30$-minute intervals. But the arcs that connect FOSA to state level facilities exist for every $t_2=5$-minute interval. The implication is that the downward flows (from federal network to state network) entering a given FOSA can leave that FOSA at any 5-minute period after that. However, the upward flows (from state network to federal network) that enter a FOSA at any time other than 30-minute intervals, need to wait at the FOSA until the first available 30-minute interval.
4.2. Generating Formulation and Commercial Solver

The sample problem introduced previously is a fairly large mixed integer program with real valued, general integer, and binary variables. Because of the large number of variables and constraints in this problem, computer programs are required to handle the input and output data. A customizable program is coded in the Microsoft Visual Studio environment to generate the formulation for each problem instance. The program receives the input data from the prepared data files as well as the coded user interface to generate each problem instance. Then the mathematical formulation for each problem instance is generated and written to a text file.

Figure 4.8 Time-Space network with Different Time Steps at FOSA
At this stage, the numerical sample problems are solved with CPLEX (2006). CPLEX is a commercial optimization package from ILOG Company that solves mathematical formulations in the forms of linear programs (LP), integer programs (IP), and quadratic programs (QP). CPLEX reads the generated formulations from text files, after optimization the results are written to text files as well. Another customized program is coded to extract the results from the output file and generate the required performance measures and charts.

4.3. Numerical Results and Analysis

To better evaluate the characteristics of the proposed model, 10 numerical case studies are generated. All the case studies are based on the described imaginary scenario with variations in the subset of enforced constraints and some parameter values. Table 4.3 describes the considered case studies. In general, the case studies in tables 4.3 start from simple and become more complicated toward the end. For example, the first case study only considers the conservation of flow and vehicle capacity constraints. Other constraints are gradually added to the formulation in the other case studies up to Case 7 which has the largest number of constraint types for a one day operation. First 7 case studies consider only 1 day of operations while in the last 3 cases 2 days of operations are formulated.

Table 4.4 summarizes the optimization results for all 10 case studies. Case-1 is the “base case” with only conservation of flow constraint and vehicle capacity constraints modeled for 1 day of operations. The solver found the optimal solution in approximately 4 minutes. Figure 4.9 shows the percent of unsatisfied demand for all victims over time. The first delivery to the nearest demand point took about 7 hours. Fifty percent of the total demand was satisfied after 11 hrs and 40 minutes. The last demand was served after 21 hours and 40 minutes.
<table>
<thead>
<tr>
<th>Case Number</th>
<th>Constraints Used</th>
<th>Details</th>
<th>Variables</th>
<th>Constraints</th>
<th>File Size (Kb)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Flow Conservation + Vehicle Capacity</td>
<td>1 day 100 Trucks</td>
<td>Real Val.: 133,275</td>
<td>Integer: 157,972</td>
<td>81,891</td>
</tr>
<tr>
<td>2</td>
<td>Flow Conservation + Vehicle Capacity</td>
<td>1 day 200 trucks</td>
<td>Real Val.: 133,275</td>
<td>Integer: 157,972</td>
<td>81,891</td>
</tr>
<tr>
<td>3</td>
<td>Flow Conservation + Vehicle Capacity + Facility Capacity</td>
<td>1 day 100 Trucks</td>
<td>Real Val.: 133,275</td>
<td>Integer: 157,972</td>
<td>87,094</td>
</tr>
<tr>
<td>4</td>
<td>Flow + Facility Location (2,2,5)* + Facility Capacity</td>
<td>1 day 100 Trucks</td>
<td>Real Val.: 133,275</td>
<td>Integer: 157,972</td>
<td>87,094</td>
</tr>
<tr>
<td>5</td>
<td>Flow + Facility Location (2,2,2) + Facility Capacity</td>
<td>1 day 100 Trucks</td>
<td>Real Val.: 133,275</td>
<td>Integer: 157,972</td>
<td>87,094</td>
</tr>
<tr>
<td>6</td>
<td>Flow + Facility Capacity Const.+ Equity-1 Const</td>
<td>1 day 100 Trucks</td>
<td>Real Val.: 133,275</td>
<td>Integer: 157,972</td>
<td>87,174</td>
</tr>
<tr>
<td>7</td>
<td>Flow + Facility Location (2,2,5) + Facility Capacity + Equity-1,2,3 Const</td>
<td>1 day 100 Trucks</td>
<td>Real Val.: 133,275</td>
<td>Integer: 157,972</td>
<td>87,294</td>
</tr>
<tr>
<td>8</td>
<td>Flow Conservation + Vehicle Capacity, day by day Supply</td>
<td>2 days 100 Trucks</td>
<td>Real Val.: 265,995</td>
<td>Integer: 315,316</td>
<td>163443</td>
</tr>
<tr>
<td>9</td>
<td>Flow + Facility Location (2,2,5) + Facility Capacity, day by day Supply</td>
<td>2 days 100 Trucks</td>
<td>Real Val.: 265,995</td>
<td>Integer: 315,316</td>
<td>173,878</td>
</tr>
<tr>
<td>10</td>
<td>Flow + Capacity + location (2,2,5), 2 day supply available</td>
<td>2 days 100 Trucks</td>
<td>Real Val.: 265,995</td>
<td>Integer: 315,316</td>
<td>173,878</td>
</tr>
</tbody>
</table>

* Facility location with maximum number of (MOB, FOSA, SSA)
Table 4.4 Summary of Optimization Results

<table>
<thead>
<tr>
<th>Case Number</th>
<th>Objective Value</th>
<th>Last UD (hr:min)</th>
<th>Temp. Facilities</th>
<th>Root Sol. Time (s)</th>
<th>Iterations</th>
<th>CPU Time (sec)†</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>9.0798 E+07</td>
<td>21:40 (4,4,10)</td>
<td>33.89</td>
<td>14,957</td>
<td>230</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>8.6118 E+07</td>
<td>15:10 (4,4,10)</td>
<td>10.36</td>
<td>5,502</td>
<td>20</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>1.0412 E+08</td>
<td>22:05 (4,4,10)</td>
<td>42.73</td>
<td>18,642</td>
<td>778</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>1.0412 E+08</td>
<td>22:05 (2,2,5)</td>
<td>33.59</td>
<td>17,308</td>
<td>945</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>1.0978 E+08</td>
<td>24:00§ (2,2,2)</td>
<td>204.19</td>
<td>205,588</td>
<td>5575</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>1.0439 E+08</td>
<td>21:50 (4,4,10)</td>
<td>42.22</td>
<td>5,810,980</td>
<td>45856*</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>1.0417 E+08</td>
<td>22:05 (2,2,5)</td>
<td>63.09</td>
<td>7,888,315</td>
<td>81642*</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>1.7985 E+08</td>
<td>39:10 (4,4,10)</td>
<td>786.34</td>
<td>63,960</td>
<td>4779</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>2.0859 E+08</td>
<td>44:45 (2,2,5)</td>
<td>2450.91</td>
<td>408,351</td>
<td>14635</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>1.8921 E+08</td>
<td>48:00§ (2,2,5)</td>
<td>10117.11</td>
<td>2,963,071</td>
<td>231035</td>
<td></td>
</tr>
</tbody>
</table>

* The solver stopped prematurely with “out of memory” error message.
§ The relief operations were not finished by the assumed horizon.
† On a 3.0 GHz Intel Pentium CPU with 2.0 GB RAM

Case-2 is similar to Case-1 but the only difference is that there are 200 trucks available in Case-2 versus 100 trucks in Case-1. Even tough the number of vehicles was increased, the optimal solution was found in only 20 seconds. As it can be seen in Table 4.3, the size of the formulation (number of variables and constraints) for Case-2 is equal to Case-1 and this is one of the important advantages of current formulation. Since this formulation treats the vehicles as commodities, the number of available vehicles appears only as a right-hand-side parameter and does not have an effect on the problem size. Figure 4.10 shows the percent of unsatisfied demand over time for Case-2 at optimality. Since there were enough vehicles at the beginning, the vehicles did not need to return to the sources.
to pick up supplies once they had left. As a result, the delivery operations were completed after only 15 hours and 10 minutes.

Figure 4.9 Percent of unsatisfied demand over time for CASE 1

Figure 4.10 Percent of unsatisfied demand over time for CASE 2
Case 3 is similar to the base-case with addition of loading, unloading, and storage capacities for all facilities. In this case, there is no limitation on the maximum number of temporary facilities and all the potential sites can be active. Figure 4.11 shows the variation of unsatisfied demand for Case-3. The addition of facility capacities prevented the shipment and delivery of large quantities of supplies. Instead, the relief commodities are delivered more uniformly over time compared to Case-1 and Figure 4.9. Consequently, the objective function value was higher and the operation took 22 hr and 5 minutes, 25 minutes more than Case-1. The running time was also increased to about 13 minutes to find the optimal solution.

In Case 4 we limited the maximum number of temporary facilities (MOB, FOSA, SSA) to (2, 2, 5) plus the constraints of Case-3. It took the solver about 16 minutes to find the optimal solution which is 3 minutes more than Case-3. However, the objective function value at optimality is the same for Case-3 and Case-4. Also the time of last delivery was the same. This implied that even though we limited the number of temporary facilities to (2, 2, 5); it was still possible to run the operation and achieve the same results. Comparing Figure 4.12 with 4.11 shows that there were minor changes in the flow of commodities, but the results are very similar.

Figure 4.11 Percent of unsatisfied demand over time for CASE 3
In order to see the effect of limited number of facilities, we created Case-5 with maximum number of temporary facilities as (2, 2, 2). Table 4.4 shows that problem became much harder. The running time jumped to 1.5 hours. The objective function was increased and more importantly, the delivery operations could not be finished in 24 hours. Figure 4.13 shows that at the end of 24 hours, there is still unsatisfied demand which is about 6% of total demand. This indicated that unlike Case-4, limiting the number of temporary facilities had affected the operations resulting in more delays and more sufferings.

In Case-6 we added the equity constraints to the problem for the first time. At this stage, only the 1st equity constrains (Equation 3.24) were considered in addition to conservation of flow and vehicle capacity constraints. Table 4.4 shows very interesting results. First of all, adding the equity-1 constraint made the problem much harder. After 13 hours of execution time and more than 5.8 million iterations, the solver still could not find the
optimal solution. However, the best integer solution found is very close to the best MIP bound (25500 unsatisfied demands, 0.02% gap).

Finally in Case-7, all the constraints are considered. The constraints include conservation of flow for the commodities and vehicles, the linkage between commodities and vehicles and capacity of each vehicle, facility location with maximums of (2, 2, 5); loading, unloading and storage capacities for all facilities, and finally the 3 equity constraints (Equation 3.24, 3.25, 3.26). The full problem is very large and difficult problem. After around 23 hours of CPU time and more than 7.8 million iterations, CPLEX solver stopped and it could not find the optimal solution. By the way, the best integer solution found is very close to the best MIP bound (30400 unsatisfied demands, 0.03% gap). Figure 4.14 shows the unsatisfied demand for the best integer solution found by the solver.

Figure 4.13 Percent of unsatisfied demand over time for CASE 5
Another idea was to extend the relief operations duration from 1 day to 2 days and analyze its effect on the problem size and behavior. Case-8 through Case-10 was created to address that idea. Again Case-8 is the base case with conservation of flow and vehicle capacity constraints. Similar to other cases, it was assumed that 100 trucks are available. The demands for the second day appear at the beginning of the second day and locations and quantities are similar to the demands of the first day. In Case-8 it is assumed that supply for the second day arrives at the beginning of the second day operations to the same sources as of the day one. Table 4.4 shows that the solution time was around 80 minutes. Comparing 80 minutes for Case-8 with 4 minutes of CPU time for Case-1 shows the growth rate of problem size and difficulty with time horizon. In this case, the duration of operation is only doubled however the solution time is rapidly increased by a factor of 20.

Figure 4.15 shows the variations in unsatisfied demand over time. The 1st day’s operations were finished in approximately 18 hours. As a result of the optimal distribution of empty trucks for the second day, the relief operations in the second day were over in only 15 hours and 10 minutes. There were no additional supplies available.
before the second day, but modeling the operations for 2-day provided the opportunity to be prepared and do a better job in the second day.

In Case-9, the facility location constraints with maximum of (2, 2, 5) and the loading, unloading, and storage capacity constraints were considered for 2 days of operations. Similar to previous case, the supplies become available day by day. Table 4.4 shows that adding the capacity constraints has increased the objective function value for about 16% compared to Case-8. The running time is also increased to more than 4 hours of CPU time.

Figure 4.16 shows the results of optimal solution for Case-9. Because of the capacity constraints the flow of large amounts of commodities were prohibited. As a result, the demands are satisfied gradually over time and for both days, the operations took longer compared to Case-8. It took 44 hours and 45 minutes to deliver all the supplies in Case-9 compared to 39 hours and 10 minutes in Case-8.

The last case study in this numerical experiment is Case-10. Case-10 is similar to Case-9 with the only variation that all the supplies for 2-days are assumed to be available at the beginning of the operations. The demands still appear at the start of each day and supplies can not be delivered beforehand. The objective function of optimal solution shows approximately 10% reduction compared to Case-9. The reason is that since the supplies were available at the beginning, they were sent to intermediate nodes close to demand points so the delivery of supplies for the 2nd day can start as soon as the demands appear for that day. Figure 4.17 shows the details.

The possibilities in arranging the details of operations in Case-10 are larger than any other case. Consequently, the CPLEX solver went over 2.9 million iterations and it took more than 2 days and 16 hours of CPU time to find the optimal solution. It is clear that a problem with complete set of constraints (if the equity constraints were to be added to the problem) with 2-days of operations, can not be solved by the commercial solver.
Figure 4.15 Percent of unsatisfied demand over time for CASE 8

Figure 4.16 Percent of unsatisfied demand over time for CASE 9
4.4. Summary

The numerical analysis in this chapter was designed to test the proposed formulation and evaluate the properties of the optimization problem. 10 different case studies were generated based on the same structure of the problem to analyze the effect of different parameters. In general, the proposed modeling framework produced reasonable outcomes. It was able to provide the level of detail required in the disaster response logistics at the operational level. For simple cases and small size problems, the commercial solver was able to find the optimal solutions, however, when the difficult constraints such as Equity Constraints were added or when the time horizon was extended from 1-day to 2-days, CPLEX was unable to deliver good results. It is concluded that better solution algorithms or heuristics are needed to address the larger problem instances or real world size problems.

Figure 4.17 Percent of unsatisfied demand over time for CASE 10
Chapter 5: Conclusions and Future Directions

The global increase in the number of natural disasters highlights the need for a better planning and operation of the responding agencies. During emergencies various aid organizations often face significant problems of transporting large amounts of many different relief commodities including food, clothing, medicine, medical supplies, machinery, and personnel from different points of origin to different destinations in the disaster areas. The transportation of supplies and relief personnel must be done quickly and efficiently to maximize the survival rate of the affected population and minimize the cost of such operations. It is very difficult, if not impossible, to efficiently operate such a complex system without comprehensive mathematical models.

Offering a centralized comprehensive model that describes the specifics of disaster supply chains was the main goal of this research. We aimed at developing a system of computer and mathematical models to keep track of operational details of large scale disaster response operations and find the optimal allocation of scarce resources to the most critical tasks in order to minimize loss of life and human sufferings.

Most of the research in the field of disaster management is related to social sciences and humanities (Hughes1991). The existing academic literature is relatively light on disaster management articles that used operations research or management science (OR/MS) techniques to deal with the problem. This study provided a compendium of previous research in disaster management with focus on OR/MS techniques and analyzed the advantages and disadvantages of the works available in the literature.

Initial investigations in this research showed that FEMA has a complex supply chain spreading across the country to coordinate with its state and local government counterparts and with nonprofit and for-profit organizations. To the best of our knowledge, there was no study in the academic literature that provided a systematic view of the FEMA’s supply chain. This research was able to investigate and summarize FEMA’s structure into seven main components and showed the relations between them as a network. The proposed network representation was the key factor that made the mathematical modeling of the FEMA’s special logistics structure possible.
The results of this research extended the state-of-the-art by presenting an integrated model at the operational level that describes the details of supply chain logistics in major emergency management agencies such as FEMA, in response to immediate aftermath of a large scale disaster. The proposed model controls the flow of all the relief commodities from the sources through the chain and until they are delivered to the hands of recipients. The proposed model not only considers details such as vehicle routing and pick up or delivery schedules; but also considers finding the optimal location for temporary facilities as well as considering the capacity constraints for each facility and the transportation system. This model provided the opportunity for a centralized operation plan that can eliminate delays and assign the limited resources in a way that is optimal for the entire system.

Applying the proposed model on a series of case-study scenarios verified the model and showed its capabilities to handle large-scale problems. Using the proposed model provided high level of transparency and control over the disaster response operations that was not available before.

The following directions are suggested for future researches in this area:

1- Introduce a set of solution techniques and heuristic algorithms to solve the MIP problem for large cases in short times. It was shown in the analysis of numerical results that for the large scale problems, commercial solvers were not able to find the optimal solution for proposed model or the running time was so long that it was not practical for disaster response management at the operational level. Two main approaches can be followed to develop heuristic solution techniques. In the first approach, the model can be decomposed into a number of smaller/easier problems and then try to aggregate the results. The decomposition can be spatial or temporal or both. In the second approach, the idea can be to develop heuristics that find near optimal solutions for the entire model in a short time. Various relaxation techniques may be used for this type of heuristics. At the end, the results of the two approaches can be compared to each other.
2- Find a tight lower bound for the MIP problem, in order to evaluate the quality of solutions provided by the heuristic algorithms. It is very important to have a relatively close bound because for the large size problems, a theoretical bound is the only benchmark to compare the quality of different heuristics. If a tight bound is provided, that opens up the opportunity to try more ambitious heuristics that can potentially be very rewarding.

3- Construct real-world-size case studies and a set of simulation experiments to analyze the model behavior in the large scale disaster response operations. The disaster relief operations can happen in large and disperse geographical areas which requires management tools capable of handling the large scale operations. The dynamic environment after the disaster strike will be best replicated through a set of well-designed simulation experiments that covers a wide range of possible scenarios and test the model’s ability to react to variations of data over time.

4- Perform major sensitivity analysis on the model structure and solution algorithms. The proposed model includes several parameters and variables that can affect the quality of solution as well as the solution time. A major sensitivity analysis on all of the related parameters is essential in order to thoroughly investigate the properties of the model and solution algorithms.
Bibliography


